

Article

# Sustainability Assessment of Combined Animal Fodder and Fuel Production from Microalgal Biomass

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**Abstract:** We present a comparative environmental and social life cycle assessment (ELCA and SLCA) of algal fuel and fodder co-production (AF+fodder) versus algal fuel and energy co-production (AF+energy). Our ELCA results indicate that fodder co-production offers an advantage in the categories: climate change (biogenic, land use and land use change, total), ecotoxicity, marine eutrophication, ionizing radiation, photochemical ozone creation, and land use. In contrast, the AF+energy system yields lower impacts in the other 11 out of 19 Environmental Footprint impact categories. Only AF+fodder offers a greenhouse gas reduction compared to petroleum-based fossil fuel (-25%). Our SLCA results indicate that AF+fodder yields lower impacts in the categories: fair salaries, forced labor, gender wage gap, health expenditure, unemployment, and violation of employment laws and regulations. AF+fuel performs favorably in the other 3 out of 9 social indicators. We conclude that the choice of co-products has a strong influence on the sustainability of algal fuel production. Despite this, none of the compared systems has been found to yield a consistent advantage in the environmental or social dimension. It is therefore not possible to recommend a co-production strategy without weighting environmental and social issues.

**Keywords:** microalgae; biorefinery; fuel; fodder; feed; life cycle assessment; LCA; SLCA

## 1. Introduction

Awareness of the detrimental impact that humanity has on the environment is growing worldwide and becomes increasingly relevant in the public debate. In 2015, 195 countries adopted the Paris Agreement - the first globally binding covenant on the climate - with the goal of limiting global warming to well below +2 °C compared to the pre-industrial era [1]. It is well known that the massive use of fossil fuels is a driver of climate change. In 2018, world primary energy demand amounted to 14.3 billion tonnes oil equivalent (Btoe) of which 81% were met by fossil fuels [2]. In the shift towards more sustainable energy sources, biofuels are expected to play a significant role [3]. Microalgal fuel in particular offers two advantages over first-generation fuels from soybeans or rapeseed: Microalgae offer potentially higher biomass yields per unit area [4,5] and they can be grown on marginal lands, thereby avoiding competition with the food and fodder sector [6].

Despite these advantages, no commercial microalgal fuel plant exists today. Whereas some authors explain this by the high cost of production [7–10] other authors have doubted the environmental benefits of algal fuel to begin with [11]. In pursuit of a remedy, the concept of the algal biorefinery was born. Apart from oil, which is the raw material for fuel production, some algae species are capable producing valuable co-products, such as cosmetic ingredients, pharmaceutical compounds, and pigments [12]. These could offer an additional income and share part of the production burden. However, apart from the technical difficulties of recovering co-products in sufficient quantity and quality [12,13], not all co-production strategies are compatible with algal fuel. Laurens *et al.* [14]

35 and Subhadra and Edwards [8] show that the small market volumes typically associated with high  
36 value commodities such as cosmetics, food supplements, and pharmaceuticals, are easily saturated by  
37 large-scale biorefineries. To avoid the uncertain socio-economic consequences of market glut, we prefer  
38 to combine algal fuel production with bulk co-products, such as chemicals and animal fodder. Several  
39 studies have investigated the suitability of algal biomass as a dietary supplement for poultry, pigs,  
40 ruminants and in aquaculture [13,15–17] with promising results. Observed benefits include improved  
41 overall health, better immune response, higher fertility, and increased body weight and product output  
42 [13]. Based on these findings and on the fact that a large part of today's algal biomass production is  
43 already used for fodder [12,13], our study will focus on this co-product specifically.

44 Before algal fuel and fodder co-production is employed at scale, it must undergo a stringent  
45 sustainability assessment. Life cycle assessments (LCA) found in the literature [18–22] typically focus  
46 on greenhouse gas (GHG) emissions and disregard or underrate other issues. Social aspects of biofuel  
47 production in particular have been shown to be hard to quantify because of supply chain complexity  
48 [23]. Existing studies address bioelectricity [23], bioethanol [24,25] or biohydrogen [26]. Notable  
49 studies dealing with microalgal fuel in particular include Tavakoli and Barkdoll [27], Rafiaani *et al.* [28].  
50 Still, differences in the underlying methodology (e.g. background SLCA database and supply-chain  
51 definition) hamper comparisons. Furthermore, alternative co-production strategies are rarely explored.

52 It is the goal of our study to complement the existing literature by offering a broader view on  
53 the sustainability of algal fuel and fodder co-production. We present an environmental and social  
54 life cycle assessment (ELCA, SLCA), including all 19 indicators<sup>1</sup> of the Environmental Footprint (EF)  
55 2.0 method [29], as well as 9 social indicators from the Product Social Impact Life Cycle Assessment  
56 (PSILCA) v3 database [30]. Reference for our comparison is an alternative algal fuel co-production  
57 pathway producing heat and electricity from the residual biomass. We further compare environmental  
58 impacts to those of petroleum diesel.

## 59 2. Materials and Methods

### 60 2.1. Goal Definition

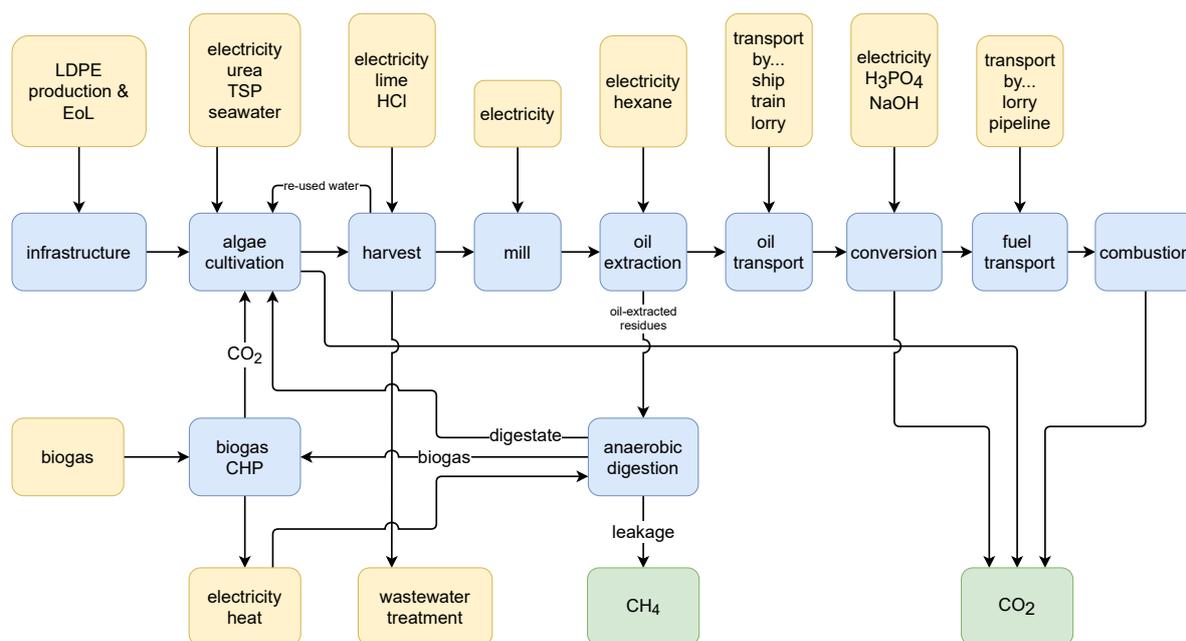
61 The goal of our study is to quantify and compare the environmental and social life cycle  
62 performance of two algal fuel co-production systems. Both systems produce fuel via hydrotreatment  
63 of algal oil (hydrotreated esters and fatty acids, HEFA), but differ in the utilization of non-oil biomass  
64 fractions. The AF+energy system converts residual biomass into electricity and heat via anaerobic  
65 digestion and biogas combustion (Fig. 1). The AF+fodder system converts residual biomass into  
66 animal fodder via spray-drying (Fig. 2). We perform environmental life cycle assessment (ELCA) and  
67 social life cycle assessment (SLCA) for both systems in order to identify the more sustainable option.  
68 The highlighted environmental and social bottlenecks can further guide future development.

### 69 2.2. System Description

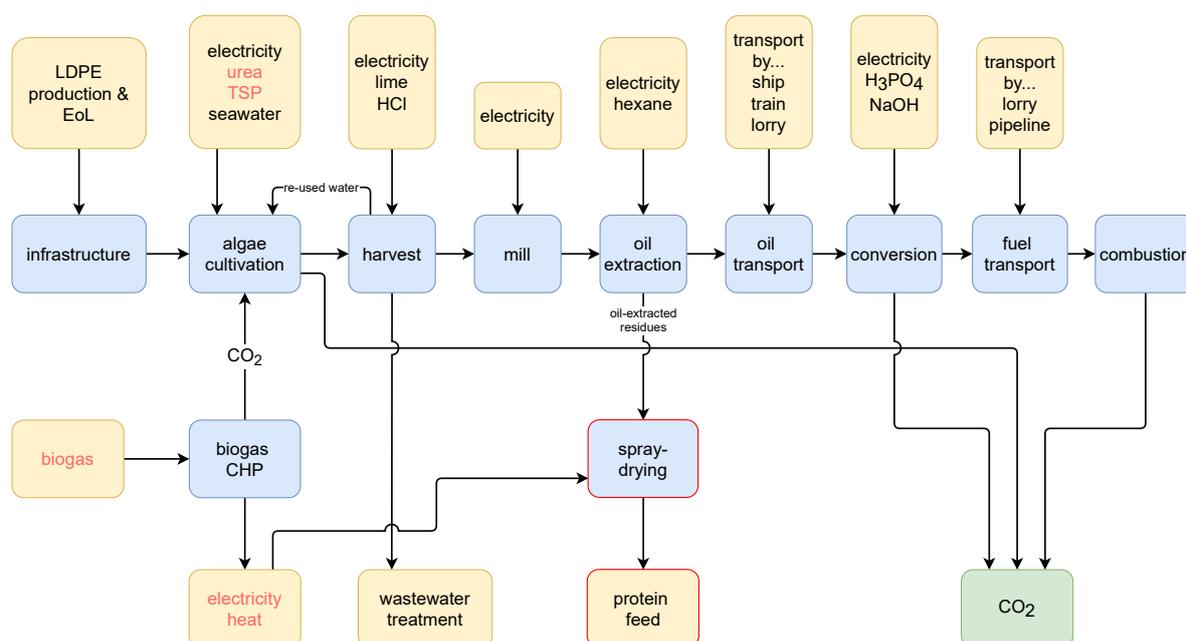
70 The process chain from algae cultivation to fuel final use is identical for both systems and is  
71 briefly recapitulated in Section 2.2.1. Note that this part of the system has been adapted from Portner  
72 *et al.* [31]. We refer to that study for an in-depth description and for an opportunity to download the  
73 original AF+energy model. The model has been adapted for this study as described in the supporting  
74 information (<http://www.mdpi.com/1660-4601/1/1/0/s1>). Finally, Section 2.2.2 describes the central  
75 focus of this study: the co-production of energy and fodder. A complete bill of materials for both  
76 systems is given in Table 1 and Table 2, respectively.

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<sup>1</sup> Note that the EF method consists of 16 indicators and 3 subindicators (climate change biogenic, climate change fossil, climate change land use and land use change). Throughout our study we will refer to them simply as 19 indicators for brevity.



**Figure 1.** Scheme of the algal fuel + energy production system. Yellow - background system, green - biosphere, blue - foreground system. Abbreviations: LDPE - low density polyethylene, EoL - end of life, TSP - triple superphosphate, CHP - combined heat and power plant. Adapted from [31].



**Figure 2.** Scheme of the algal fuel + fodder production system. Yellow - background system, green - biosphere, blue - foreground system, red frame - newly introduced process, red font - existing process with different flow amount. Abbreviations: LDPE - low density polyethylene, EoL - end of life, TSP - triple superphosphate, CHP - combined heat and power plant.

77 2.2.1. Algal Fuel Production

78 Values and assumptions stated throughout this subsection are taken from [31] and explained  
79 therein.

80 Microalgae are cultivated in open raceway ponds (ORP) in a coastal area in Spain. The ponds are  
81 excavated from the ground and covered by plastic liners. The cultivation mode is autotrophic, meaning

82 the microalgae thrive on photosynthesis. CO<sub>2</sub> is pumped into the pond after extraction from the flue  
83 gas of a combined heat and power plant (CHP), which uses biogas as its primary fuel. Electricity and  
84 heat produced by the CHP are treated as co-products of the fuel production process. Nitrogen and  
85 phosphorus are supplied in the form of commercial urea and triple superphosphate (TSP) fertilizers.  
86 To ensure homogeneous exposure to the sun, the pond is mixed by paddle wheels throughout the  
87 day (12 hours per day). The power demand for this is estimated at 4 000 W/ha, or 30.2 GJ per day for  
88 the whole cultivation area of 175 ha. The biomass yield is estimated at 15 g<sub>DW</sub>/(m<sup>2</sup> d), or 6.3 kilotons  
89 during the cultivation season of 240 days per year. The algae cells are subjected to nitrogen-stress  
90 at the end of their growth cycle to raise the lipid content to 30% by weight. The cells also contain  
91 hydrocarbons and proteins, which are valuable nutrients for animals. Water evaporates continuously  
92 from the open ponds (1.3 m<sup>3</sup>/(m<sup>2</sup> d)) and needs to be replenished by pumping saltwater from the  
93 neighboring sea via a dedicated pipeline. The power demand for pumping is estimated at 160 kW,  
94 or 6.9 GJ per day. We assume that the algae cells can tolerate salt concentrations up to 5.3%-wt and  
95 that salt accumulation beyond this point is prevented by reducing the recycling rate. In this way, no  
96 external freshwater source is necessary.

97 After reaching the targeted cultivation density (0.5 g<sub>DW</sub>/L) and cell lipid content (30% by weight),  
98 the microalgae are harvested in a two-step procedure: First, the medium is pre-concentrated by  
99 flocculation with magnesium hydroxide. After that, it is centrifuged, yielding a biomass concentration  
100 of 20% by weight. HCl is subsequently added to the centrate and to the supernatant to neutralize  
101 the pH and to recover the flocculation agent. The neutralized supernatant is then returned to the  
102 cultivation process if salt levels permit or discarded otherwise. Discarded medium is treated in a  
103 wastewater treatment plant and returned to the sea. Note that the composition of marine cultivation  
104 media after nitrogen deprivation and harvest is non-existent in the literature. For this reason, we  
105 chose a generic treatment model from the Ecoinvent database *treatment of wastewater, average, capacity*  
106 *1.1E10/year*[32].

107 After the centrifuge, the algae cells pass a mill, which breaks open the cell walls. The released  
108 lipids are then extracted using hexane and the extracted oil is shipped to the Netherlands, where it is  
109 converted into fuel via hydrotreatment (hydrotreated esters and fatty acids, HEFA). Finally, the fuel is  
110 distributed across Europe to its final users. Combustion emissions are treated as carbon neutral, as the  
111 released CO<sub>2</sub> is of biogenic origin.

### 112 2.2.2. Co-production of Energy and Fodder

113 Apart from algal oil, the extraction process produces an aqueous residue, which is rich in  
114 carbohydrates and proteins. This residue can be utilized in various ways. In our study, we compare  
115 two utilization scenarios: energy production and fodder production.

116 In the AF+fodder scenario, the residue is dried and sold as animal fodder. Not all drying  
117 processes are suitable for this task. The goal is to produce a durable product while conserving the  
118 bio-functionality of the algae proteins. On an industrial scale, spray-drying is employed for the  
119 production of baby formula and of Spirulina powder for food supplements [12,32]. We therefore chose  
120 Ecoinvent activity *milk spray-drying, CA-QC* [32] as the basis of our model. We adapted the model to  
121 account for the different water content of the raw material (85% instead of 50%) and for the changed  
122 location (inputs from Spanish markets instead of Canadian ones). We further added an efficiency term  
123 to model a loss rate of 10% of the nutritional value of the raw input. We assume that the remaining  
124 90% of algal residues can displace soy meal on a 1:1 basis. Because no Spanish soy meal market  
125 was available from the Ecoinvent database, we approximated it by 55% imports from Brazil and 45%  
126 imports from the United States (US), based on data from UN COMTRADE [33]. Due to the high water  
127 content of the raw input, spray-drying requires a significant heat input, which is satisfied by a natural  
128 gas CHP. Overall, the AF+fodder system is a net heat *consumer*. In accordance with the Environmental  
129 Footprint method [29], we assign no dissipation impact to water evaporated from the algal residues, as

130 it is mostly seawater. The resulting net inventory for the AF+fodder system, including fuel and fodder  
131 production, is reported in Table 2.

132 In the AF+energy scenario, the oil-extracted residue is used to produce biogas via anaerobic  
133 digestion (AD), which is then supplied to the biogas CHP to produce heat and electricity. Apart from  
134 biogas, AD produces digestate, which is rich in carbon, nitrogen and phosphorous and can be used as  
135 a nutrient source for algae cultivation. Our model is based on Portner *et al.* [31] with the adaptations  
136 described in the supporting information. In summary, the effect of AD is fourfold: 1) algae-derived  
137 biogas reduces market biogas demand (-13% compared to system without AD); 2) nitrogen in the  
138 recycled digestate reduces market urea demand (-45%); 3) phosphorus in the recycled digestate reduces  
139 market TSP demand (-45%); 4) carbon in the recycled digestate reduces cultivation-CO<sub>2</sub> demand (-16%).  
140 The reduced CO<sub>2</sub> demand has further consequences for the biogas-CHP plant: Because less CO<sub>2</sub> is  
141 consumed, less electricity and heat can be attributed to the algal fuel as by-products (-16% each).  
142 Furthermore, the reduced CO<sub>2</sub> demand leads to reduced market biogas consumption (-16% on top  
143 of the reduction induced by local biogas production). The resulting net inventory of the AF+energy  
144 system, including fuel and energy production, are reported in Table 1. Note that electricity production  
145 by the AF+energy system is *lower* than in the AF+fodder system due to the described effects of AD.

**Table 1.** Inventory of the AF+energy scenario (adapted from [31]).

Material	Ecoinvent 3.7.1 APOS activity, location (ELCA) <sup>2</sup>	PSILCA 3.0 sector, country (SLCA)	Amount per MJ	Unit	Cost per FU (USD)
Biogas	market for biogas, RoW	Agricultural and livestock services, ES	1.23E-01	m <sup>3</sup>	1.42E-02
N-fertilizer	nutrient supply from urea, RER	Manufacture of pesticides and agrochemical products, ES	5.18E-03	kg N	2.89E-03
P-fertilizer	nutrient supply from triple superphosphate, RER	Manufacture of pesticides and agrochemical products, ES	1.04E-03	kg P <sub>2</sub> O <sub>5</sub>	2.96E-04
Pond liner	market for packaging film, low density polyethylene, GLO	Manufacture of plastic products, ES	5.46E-04	kg	1.91E-03
Water pipeline	market for water supply network, GLO	Civil Engineering, ES	5.07E-09	km	5.10E-03
Lime	market for lime, RER	Basic chemical products, ES	4.91E-02	kg	6.78E-03
HCl	market for hydrochloric acid, without water, in 30% solution state, RER	Basic chemical products, ES	4.83E-02	kg (undiluted)	7.24E-03
Hexane	market for hexane, GLO	Coke, refined petroleum products and nuclear fuel, ES	1.64E-04	kg	6.31E-05
Rail transport	market group for transport, freight train, RER	Railway transport, ES	3.16E-03	t km	9.68E-05
Sea transport	market for transport, freight, sea, tanker for petroleum, GLO	Water transport, ES	1.11E-01	t km	4.13E-05
Road transport	market for transport, freight, lorry 16-32 metric ton, EURO6, RER	Other transport material n.e.c., ES	2.74E-03	t km	9.34E-05
Pipeline transport	market for transport, pipeline, onshore, petroleum, RER	Other land transport; transport via pipelines, ES	9.25E-03	t km	5.17E-05
Electricity	market for electricity, medium voltage, NL	Electricity, gas, steam and hot water supply, NL	2.11E-03	kWh	2.49E-04
H <sub>3</sub> PO <sub>4</sub>	market for phosphoric acid, industrial grade, without water, in 85% solution state, GLO	Manufacture of industrial chemicals and fertilizers, IL	1.95E-05	kg (undiluted)	2.07E-05
NaOH	market for sodium hydroxide, without water, in 50% solution state, GLO	Manufacture of chemicals and chemical product, NL	5.84E-05	kg (undiluted)	1.32E-05

Electricity (avoided)	market for electricity, high voltage, ES	Production and distribution of electricity, ES	-1.45E-01	kWh	-1.68E-02
Natural gas (avoided)	heat and power co-generation, natural gas, combined cycle power plant, 400MW electrical, ES	Natural gas mix, ES <sup>3</sup>	-1.30E+00	MJ	-1.63E-02

**Table 2.** Inventory of the AF+fodder scenario.

Material	Ecoinvent 3.7.1 APOS activity, location (ELCA) <sup>2</sup>	PSILCA 3.0 sector, country (SLCA)	Amount per MJ	Unit	Cost per FU (USD)
Biogas	market for biogas, RoW	Agricultural and livestock services, ES	1.72E-01	m <sup>3</sup>	2.22E-02
N-fertilizer	nutrient supply from urea, RER	Manufacture of pesticides and agrochemical products, ES	9.44E-03	kg N	5.27E-03
P-fertilizer	nutrient supply from triple superphosphate, RER	Manufacture of pesticides and agrochemical products, ES	1.90E-03	kg P <sub>2</sub> O <sub>5</sub>	5.39E-04
Pond liner	market for packaging film, low density polyethylene, GLO	Manufacture of plastic products, ES	5.46E-04	kg	1.91E-03
Water pipeline	market for water supply network, GLO	Civil Engineering, ES	5.07E-09	km	5.10E-03
Lime	market for lime, RER	Basic chemical products, ES	4.91E-02	kg	6.78E-03
HCl	market for hydrochloric acid, without water, in 30% solution state, RER	Basic chemical products, ES	4.83E-02	kg (undiluted)	7.24E-03
Hexane	market for hexane, GLO	Coke, refined petroleum products and nuclear fuel, ES	1.64E-04	kg	6.31E-05
Rail transport	market group for transport, freight train, RER	Railway transport, ES	3.16E-03	t km	9.68E-05
Sea transport	market for transport, freight, sea, tanker for petroleum, GLO	Water transport, ES	1.11E-01	t km	4.13E-05
Road transport	market for transport, freight, lorry 16-32 metric ton, EURO6, RER	Other transport material n.e.c., ES	2.74E-03	t km	9.34E-05
Pipeline transport	market for transport, pipeline, onshore, petroleum, RER	Other land transport; transport via pipelines, ES	9.25E-03	t km	5.17E-05
Electricity	market for electricity, medium voltage, NL	Electricity, gas, steam and hot water supply, NL	2.11E-03	kWh	2.49E-04

H <sub>3</sub> PO <sub>4</sub>	market for phosphoric acid, industrial grade, without water, in 85% solution state, GLO	Manufacture of industrial chemicals and fertilizers, IL	1.95E-05	kg (undiluted)	2.07E-05
NaOH	market for sodium hydroxide, without water, in 50% solution state, GLO	Manufacture of chemicals and chemical product, NL	5.84E-05	kg (undiluted)	1.32E-05
Heat	heat and power co-generation, natural gas, combined cycle power plant, 400MW electrical, ES	Natural gas mix, ES <sup>3</sup>	6.10E-01	MJ	7.63E-03
Tap water	market for tap water, Europe w/o Switzerland	Collection, purification and distribution of water, ES	4.91E-02	kg	2.30E-05
Electricity (avoided)	market for electricity, high voltage, ES	Production and distribution of electricity, ES	-1.74E-01	kWh	-2.01E-02
Soybean (avoided)	soybean meal and crude oil production, BR	Processed soy oil, BR	-3.85E-02	kg	-2.40E-02
Soybean (avoided)	soybean meal and crude oil production, US	Soybean and other oilseed processing, US	-3.15E-02	kg	-1.96E-02

<sup>2</sup> Where no local Ecoinvent activity was available, the next-largest parent region was chosen (RER, Europe w/o Switzerland, GLO). If only CH and RoW were available, CH was preferred.

<sup>3</sup> Spanish natural gas mix: Algeria 33.11%; Nigeria 11.47%; Qatar 11.46%; US 11.04%; Russia 8.52%; Trinidad and Tobago 7.52%; France 7.02%; Norway 6.52%; Peru 1.43%; Angola 0.73%; Portugal, 0.25%; Cameroon 0.23% (source: [www.ine.es](http://www.ine.es))

## 146 2.3. Assessment Methodology

### 147 2.3.1. Environmental Life Cycle Impacts

148 Assessment of the environmental performance is based on the standardized Life Cycle Assessment  
149 (LCA) methodology [34,35]. The LCA methodology is becoming an essential tool to underpin  
150 evidence-based policies in the EU [36]. According to the ISO standards, it comprises four interrelated  
151 stages [34,35].

152 In the first stage (“goal and scope definition”) key aspects such as the functional unit (FU) and the  
153 boundaries of the product system are defined. Since the main function of the systems under study is  
154 fuel production, we select 1 MJ of fuel (lower heating value) as the functional unit. Concerning the  
155 system boundary, a cradle-to-grave approach was followed, covering feedstock production (including  
156 infrastructure), feedstock preparation, conversion, fuel distribution, and fuel combustion (Figs. 1  
157 and 2). The geographical scope of the foreground system comprises Spain (algal oil production) and  
158 the Netherlands (fuel production). The temporal scope is today, i.e. we consider state-of-the-art  
159 technologies.

160 The second stage (“life cycle inventory analysis”, LCI) focuses on the acquisition of input and  
161 output data (bill of materials) describing the production system. Furthermore, an approach to deal  
162 with multifunctionality has to be detailed if the system under study produces more than one useful  
163 product. The bills of materials for the AF+energy system and the AF+fodder system are given in  
164 Table 1 and Table 2, respectively. The systems were modeled in Excel and a workbook containing the  
165 AF+fodder model is available in the supporting information of this paper. The AF+energy model is  
166 available from the supporting information of Portner *et al.* [31]. Modifications to the latter model were  
167 necessary and are described in the supporting information of this paper. The background system in  
168 our study is modeled using activities from the Ecoinvent 3.7.1 APOS database [32]. Multifunctionality  
169 in the foreground system is resolved following the substitution approach, in accordance with the  
170 ISO recommendation [34,35]. Each of the two systems produces three useful products (AF+energy:  
171 algal fuel, electricity, useful heat; AF+fodder: algal fuel, electricity, animal fodder). It is assumed that  
172 electricity displaces the current average Spanish grid mix, heat displaces heat generation from natural  
173 gas, and fodder displaces soybean meal. As no soybean meal market for Spain was available from  
174 the Ecoinvent database, we approximated it by 55% Brazilian imports and 45% US imports, based on  
175 data from UN COMTRADE [33]. We assume that 1 kg of spray-dried algae-residues are nutritionally  
176 equivalent to 1 kg of soybean meal.

177 The third stage of LCA (“life cycle impact assessment”, LCIA) includes three mandatory  
178 components: (i) selection of impact categories, indicators and characterization models, (ii) linking of  
179 impact categories and inventory data (associating elementary flows with impact categories), and (iii)  
180 characterization of impacts (applying indicator-specific intensity variables, the characterization factors).  
181 The optional normalization and weighting step is omitted in our study. Given the European context of  
182 our study, the environmental life cycle performance is characterized using the Environmental Footprint  
183 2.0 method (EF 2.0) midpoint indicators [29]. We use all 19 indicators to capture a broad view of the  
184 possible environmental consequences and trade-offs. Linking and characterization (components ii and  
185 iii) are performed in Brightway 2 [37].

186 The last stage of LCA (“interpretation”) summarizes the findings of the LCI and LCIA stages,  
187 identifies critical life cycle phases and gives recommendations for future development. This stage  
188 corresponds to Section 3 of this article.

### 189 2.3.2. Social Life Cycle Impacts

190 The SLCA methodology is defined in the UNEP/SETAC Guidelines for Social Life Cycle  
191 Assessment [38] and is largely analogous to the LCA framework. The social life cycle inventory  
192 is defined in terms of work-hours per functional unit and characterization factors describe the risk of a

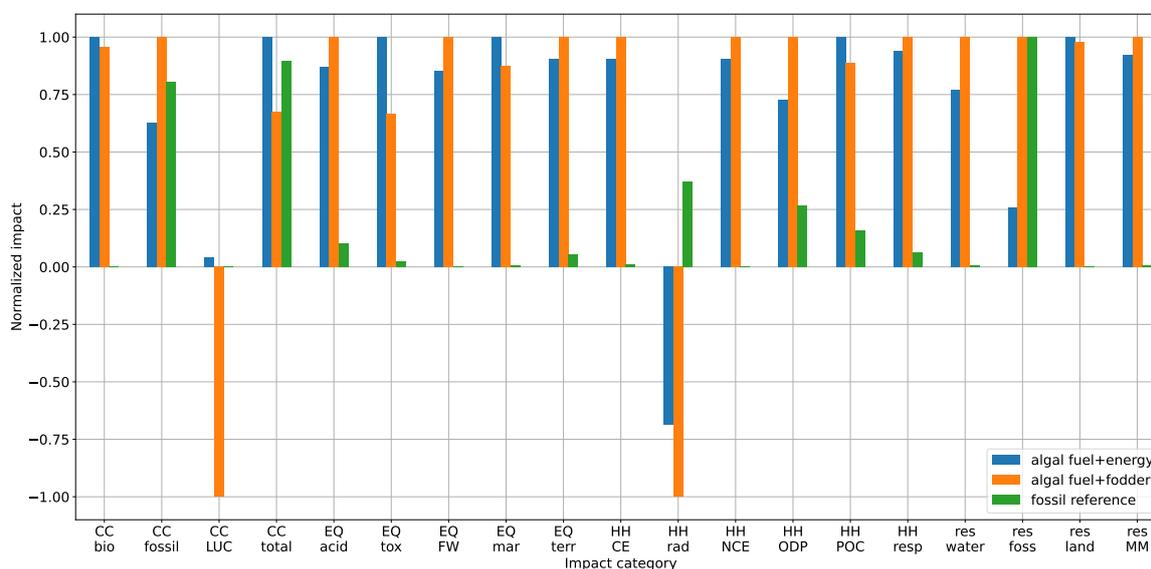
193 specific social issue occurring in a country-specific sector. Inventories can be defined explicitly by the  
 194 SLCA practitioner or they can be taken from economic databases. We chose the first approach for the  
 195 foreground system and the second approach for the background system.

196 For the foreground inventory, the source country of each exchange was first identified based  
 197 on global commodity trade statistics reported in the UN COMTRATE database [33]. In a second  
 198 step, the sector associated with each flow was selected among those available in the PSILCA 3.0  
 199 database [30]. Physical exchange were converted to monetary units based on price data from the  
 200 Ecoinvent APOS 3.7.1 database [32]. Prices in EUR were converted to USD using a conversion factor of  
 201 1.179 EUR/USD. The labor demand at the algal plant was estimated at 240 days per year, 12 hours  
 202 per day, and 10 workers per shift. Normalized by the fuel production rate ( $5.15 \cdot 10^7$  MJ per year), this  
 203 yields a labor demand of  $5.59 \cdot 10^{-4}$  work-hours per MJ fuel.

204 Linking and characterization was carried out in OpenLCA [39]. The PSILCA v3.0 database [30]  
 205 was used to model sector and country specific background inventories, as well as their social risk levels.  
 206 There are 55 social performance indicators available in PSILCA 3. We selected a subset of 9 based on  
 207 the following considerations: (i) relevance to central subjects of the SDGs; (ii) recommendations set  
 208 by previous SLCA studies on alternative fuels in the European and Spanish context [26,40–42]; (iii)  
 209 socio-economic specifics of countries involved in the supply chain. The 9 chosen indicators are: Child  
 210 labor (CL), Contribution of the sector to economic development (SED), Fair Salary (FS), Frequency of  
 211 forced labor (FL), Gender wage gap (GWG), Health expenditure (HE), Unemployment (U), Violations  
 212 of employment laws and regulations (VEL), and Women in the sectoral labor force (WLF).

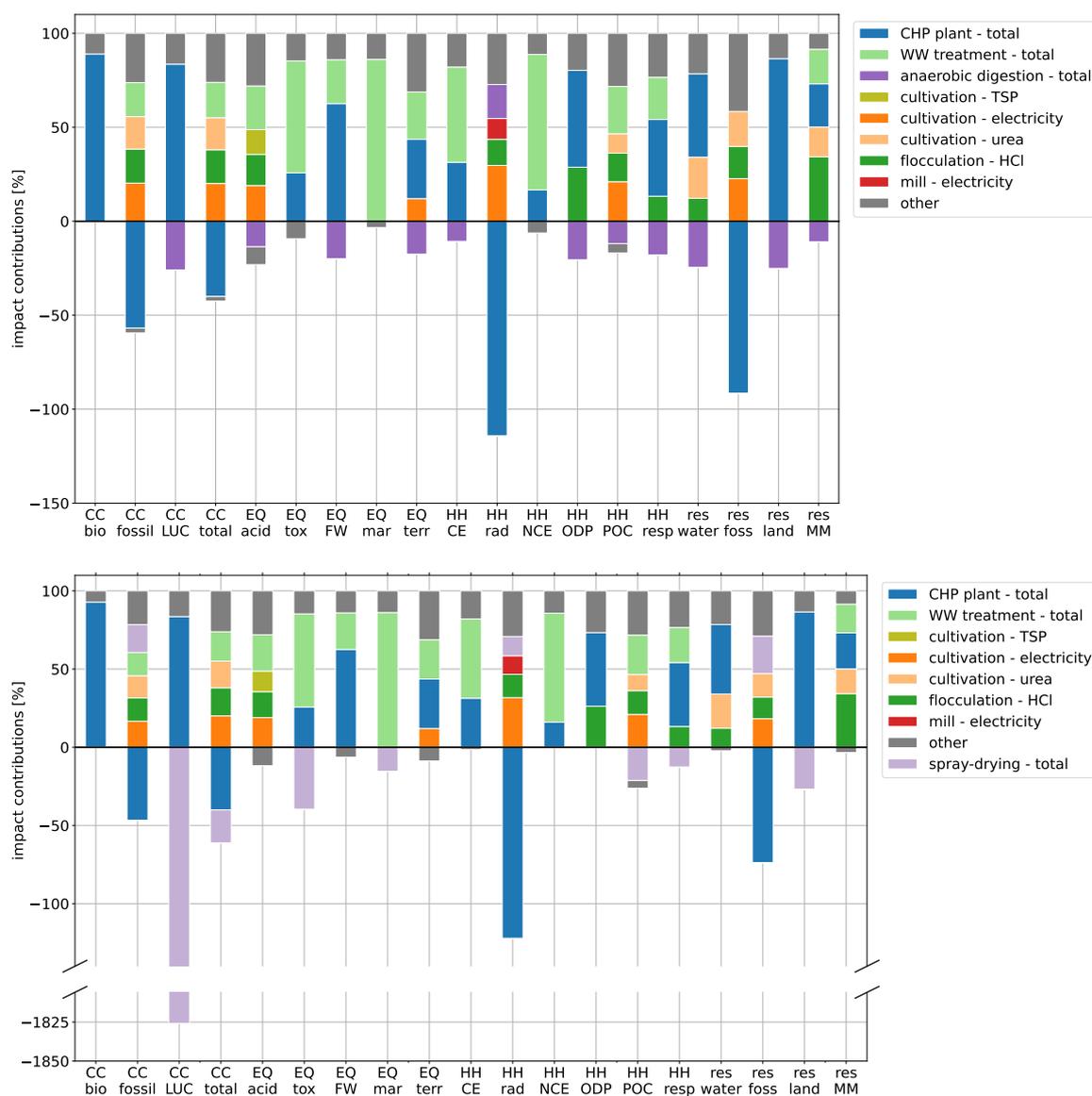
### 213 3. Results and Discussion

#### 214 3.1. Environmental Life Cycle Impacts



**Figure 3.** Comparison of environmental impacts: AF+energy (blue), AF+fodder (orange) and petroleum diesel (green). Note that the bars are normalized by the maximum in each category. Abbreviations: CC - climate change, EQ - ecosystem quality, HH - human health, res - resource depletion, bio - biogenic, LUC - land use and land use change, acid - freshwater and terrestrial acidification, tox - freshwater ecotoxicity, FW - freshwater eutrophication, mar - marine eutrophication, terr - terrestrial eutrophication, CE - carcinogenic effects, rad - ionizing radiation, NCE - non-carcinogenic effects, ODP - ozone depletion potential, POC - photochemical ozone creation, resp - respiratory effects, water - water dissipation, foss - fossil, land - land use, MM - minerals and metals

215 Fig. 3 compares the calculated environmental impacts of the AF+energy system (blue) and the  
216 AF+fodder system (orange). The AF+energy system performs better in 11 out of the 19 indicators  
217 (climate change - fossil, ecosystem quality - acidification, freshwater & terrestrial eutrophication,  
218 human health - carcinogenic & non-carcinogenic effects, ozone depletion, respiratory effect, resource  
219 depletion - water dissipation, fossil, materials and metals). In the remaining 8 categories, the  
220 AF+fodder pathway shows a lower impact (climate change - biogenic, land use and land use change,  
221 total, ecosystem quality - freshwater ecotoxicity, marine eutrophication, human health - ionizing  
222 radiation, photochemical ozone creation, resource depletion - land use). Thus, we find no systematic  
223 environmental advantage for either co-production strategy. The figure further shows the environmental  
224 impact of petroleum diesel (green), which outperforms both algal fuels in 14 out of the 19 indicators.  
225 Our results demonstrate that decisions based on one indicator alone can lead to increasing burdens in  
226 the ignored environmental compartments. Whether burden shifts are acceptable or not is a question of  
227 weighting, which is inherently value-based and not further discussed here. Instead, we move on to  
228 clarify the origin of impacts within our systems, based on the breakdown in Fig. 4.



**Figure 4.** Break-down of environmental impacts: (a) AF+energy (b) AF+fodder. Note that the bars are normalized by the sum of positive (i.e. damaging) impacts. Abbreviations: CC - climate change, EQ - ecosystem quality, HH - human health, res - resource depletion, bio - biogenic, LUC - land use and land use change, acid - freshwater and terrestrial acidification, tox - freshwater ecotoxicity, FW - freshwater eutrophication, mar - marine eutrophication, terr - terrestrial eutrophication, CE - carcinogenic effects, rad - ionizing radiation, NCE - non-carcinogenic effects, ODP - ozone depletion potential, POC - photochemical ozone creation, resp - respiratory effects, water - water dissipation, foss - fossil, land - land use, MM - minerals and metals

### 229 3.1.1. Climate Change

230 The climate change subcategory comprises the aggregated indicator *climate change - total* (CC total),  
 231 as well as three subcompartments for biogenic methane emissions (CC bio), fossil GHG emissions (CC  
 232 fossil), and land use change effects (CC LUC).

233 AF+fodder shows the lowest total climate change impact thanks to credits for the displacement of  
 234 soybean meal. Displacement of soybean cultivation in Brazil in particular yields a significant credit  
 235 in the CC LUC subcategory. Both algal fuel pathways further profit from the displacement of fossil  
 236 electricity (CC fossil). Despite these substantial credits, the AF+fodder fuel achieves only 25% GHG

237 reduction compared to petroleum diesel, which is insufficient for RED II accreditation. GHG intensity  
238 of the AF+energy fuel surpasses that of petroleum diesel.

239 Impacts in both algal fuel systems stem mainly from the consumption of electricity, urea fertilizer,  
240 hydrochloric acid and from the treatment of discarded cultivation medium <sup>2</sup>. Note that the release of  
241 CO<sub>2</sub> from the cultivation ponds into the atmosphere has no impact, as the CO<sub>2</sub> is of biogenic origin.  
242 Biogenic methane leaking from the anaerobic digestion (AD) process on the other hand causes a climate  
243 impact and is accounted for in the *anaerobic digestion - total* credit.

### 244 3.1.2. Ecosystem Quality

245 Both algal fuels show substantially higher ecosystem quality (EQ) impacts than petroleum diesel  
246 in all five subcategories. These impacts stem mainly from the treatment of discarded cultivation  
247 medium and from the CHP supply chain. The former causes eutrophication by releasing nitrogen-  
248 and phosphorous-rich compounds into water and into the atmosphere (EQ FW, EQ mar, EQ terr).  
249 The CHP contributions are caused by the burning of digester sludge, which is a by-product of biogas  
250 generation. Acidification impacts (EQ acid) are governed by the consumption of grid electricity (SO<sub>2</sub>  
251 emissions during hard coal combustion), P-fertilizer (release of SO<sub>2</sub> from land-filled gypsum, which is  
252 a by-product of TSP production) and hydrochloric acid (various SO<sub>2</sub> sources along the supply chain).

253 EQ credits are given to the AD process primarily for the displacement of market biogas (reduced  
254 release of N- and P-rich compounds during digestion and sludge incineration). The spray-drying  
255 process profits from the displacement of market soy (reduction in pesticide and fertilizer use). The  
256 former yield an advantage for the AF+energy system in the subcategories acidification, freshwater  
257 eutrophication, and terrestrial eutrophication. The latter yield an advantage for the AF+fodder system  
258 in the categories marine eutrophication and ecotoxicity.

### 259 3.1.3. Human Health

260 Both algal fuels show substantially higher impacts than petroleum diesel in five out of six Human  
261 Health (HH) subcategories. The only exception is ionizing radiation (HH rad) where they achieve an  
262 overall negative impact (environmental benefit) due to the displacement of nuclear electricity from the  
263 Spanish grid.

264 Impacts in the algal fuel pathways can be traced back to electricity consumed in the cultivation  
265 and milling processes (nuclear grid electricity), to HCl consumption in the harvesting stage (electricity  
266 demand and Cl-gas and SO<sub>x</sub> emissions along the HCl supply chain), and to the CHP supply chain  
267 (release of toxic substances during biowaste digestion and sludge incineration). Emissions from the  
268 wastewater treatment process (zinc, chromium VI, NO<sub>x</sub>), are a product of the generic wastewater  
269 composition (cf. Section 2.2.1) and the actual HH impact of cultivation medium treatment might be  
270 lower than shown here.

271 AF+energy credits in the subcategories carcinogenic effects (HH CE), non-carcinogenic effects  
272 (HH NCE), and ozone depletion (HH ODP) are driven by the displacement of market biogas, whereas  
273 fodder co-production yields no significant benefit. AF+energy further performs favorably in the  
274 respiratory effects category (HH resp) for the same reason. AF+fodder shows lower impacts in the  
275 subcategories ionizing radiation (HH rad) and photochemical ozone creation (HH POC), where it  
276 profits from the displacement of market soy (reduced slash and burn in Brazil) and from its higher net  
277 electricity production (cf. Section 2.2.2).

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<sup>2</sup> Note that both algal fuel systems are net electricity *producers*. Subtracting the CHP credit (blue bar) from the electricity burden (dark orange bar) yields a net credit. Similarly, urea consumption is partially offset by digestate recycled from the anaerobic digestion process (violet bar). We decided to show both sides of the balance for transparency.

### 278 3.1.4. Resource Depletion

279 Compared to petroleum diesel, the algal fuels perform unfavorably in 3 out of 4 resource depletion  
280 categories (res). In the fossil depletion category (res foss), the AF+energy system achieves significant  
281 impact reduction compared to petroleum diesel (credits for internal urea demand reduction and  
282 market biogas displacement), whereas AF+fodder is on par (impact from additional heat demand for  
283 spray-drying).

284 Water dissipation (res water) is driven by embedded impacts in the form of market biogas (biomass  
285 irrigation) and market urea (steam used as energy- and hydrogen-source in ammonia production). As  
286 the AF+energy system consumes less of both, it has the lower impact. Note that seawater evaporation  
287 is not associated with a dissipation impact, as seawater is not a critical resource.

288 Both algae pathways have a similar land footprint (res land), dominated by the biogas supply  
289 chain (composting of biomass). The credit for market biogas substitution (AF+energy) is marginally  
290 bigger than the credit for soy meal substitution (AF+fodder), giving AF+energy a small advantage.  
291 Note that the land demand for algae cultivation itself is negligible in comparison.

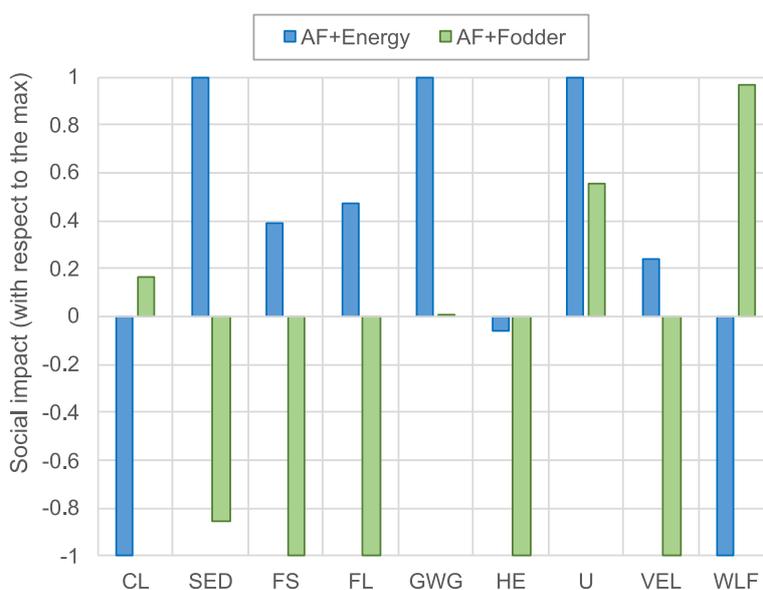
292 Minerals and metal depletion (res MM) in both algal fuel systems is caused by the use of copper  
293 and zinc in buildings and appliances throughout various supply chains - most notably the production of  
294 HCl, biogas, fertilizer, and the treatment of wastewater. Soybean meal displacement in the AF+fodder  
295 pathway is rewarded a significant credit (displaced harvesting equipment and fertilizers), which is  
296 partially consumed by the additional energy demand of spray-drying. The AF+energy pathway on  
297 the other hand receives credits for the displacement of market biogas and the reduction of the urea  
298 demand, and is slightly more favorable.

### 299 3.2. Social Life Cycle Impacts

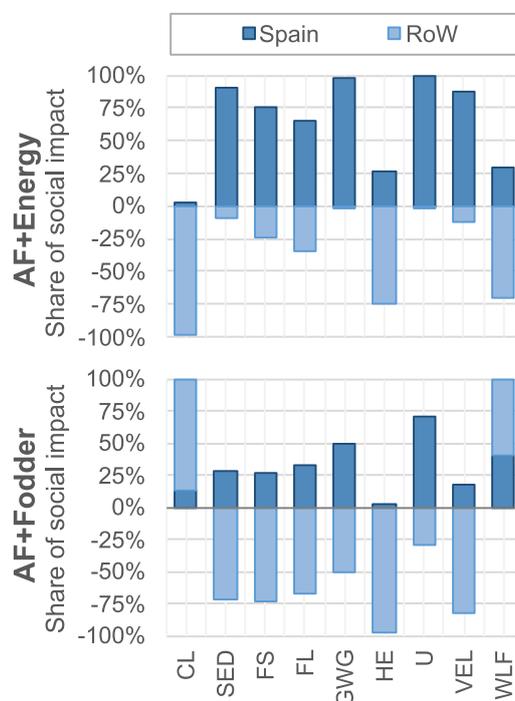
300 Fig. 5 compares the social life cycle impact of the AF+energy and AF+fodder system (normalized  
301 with respect to the highest score between the two). Note that the indicator *sector contribution to*  
302 *economic development* is the only indicator expressed in medium *opportunity* hours (higher is better)  
303 whereas all other impact categories are expressed in medium *risk* hours (lower is better). Overall,  
304 the benefits of energy co-production, although relevant, appear less evident than those of fodder  
305 co-production. Whereas AF+energy features lower social risks in 3 out of 9 categories (child labor,  
306 CL; sector contribution to the economic development, SED; women in the sectoral labor force, WLF),  
307 AF+fodder shows a favorable performance in the other 6 categories (fair salary, FS; forced labor, FL;  
308 gender wage gap, GWG; health expenditure, HE; unemployment, U; violations of employment laws  
309 and regulations, VEL).

310 Fig. 6 shows a breakdown of risks according to location, distinguishing between Spain and the  
311 rest of the world (RoW). On average, energy co-production yields social benefits in Spain but creates  
312 burdens in the rest of the world. The opposite is true for fodder co-production, which primarily  
313 benefits the rest of the world and burdens Spain. The notable exception is economic development  
314 (SED), which is fostered internationally by the AF+energy pathway and domestically by the AF+fodder  
315 pathway. Note that activities located in the Netherlands were found to contribute less than 5% of the  
316 impact for all of the selected social life cycle indicators.

317 Table 3 further refines the breakdown by listing the main risk and benefit drivers in each category  
318 according to their country and industrial sector. Whereas algal fuel production in Spain creates burdens  
319 in most of the categories, displacement of soy and energy carriers are the most important benefit  
320 drivers. Clearly, co-production is an important means to improve the social performance of algal fuels.  
321 Again, the SED category poses an exception, indicating that the displacement of energy and soy from  
322 the market can exert significant pressure on established suppliers. This trade-off should be kept in  
323 mind in a potential decision-making context.



**Figure 5.** Social life cycle impacts of the AF+energy and AF+fodder systems. Scores are normalized by the highest absolute score in each impact category. Abbreviations: CL - Child Labor; SED - Sector contribution to Economic Development; FS - Fair Salary; FL - frequency of Forced Labor; GWG - Gender Wage Gap; HE - Health Expenditure; U - Unemployment; VEL - Violations of Employment Laws and regulations; WLF - Women in the sectoral Labor Force. Note that SED is the only positive indicator (higher is better) and all other indicators should be interpreted as “lower is better”.



**Figure 6.** Breakdown of impact origins by domestic (Spain) and foreign (Rest of the World, RoW) activities. Abbreviations: CL - Child Labor; SED - Sector contribution to Economic Development; FS - Fair Salary; FL - frequency of Forced Labor; GWG - Gender Wage Gap; HE - Health Expenditure; U - Unemployment; VEL - Violations of Employment Laws and regulations; WLF - Women in the sectoral Labor Force. Note that SED is the only positive indicator (higher is better) and all other indicators should be interpreted as “lower is better”.

**Table 3.** Summary of social impact drivers.

Social indicator	Main benefit driver AF+Energy	Main impact driver AF+Energy	Main benefit driver AF+Fodder	Main impact driver AF+Fodder
Child labor, total	Displacement of natural gas from Nigeria, Russia	Chemicals production in Spain	i) Displacement of soy from Brazil ii) Displacement of Spanish grid electricity	i) Natural gas production in Nigeria, Russia ii) Chemicals production in Spain
Sector contribution to economic development (positive indicator)	Algal fuel production in Spain	Displacement of energy carriers from Nigeria, Russia, South Africa	Production of biogas and chemicals in Spain	Displacement of soy from Brazil.
Fair Salary	Displacement of activities in Spain, Algeria related to energy products	Production of biogas and chemicals in Spain	Displacement of soy from Brazil, USA	Production of chemicals in Spain
Frequency of forced labor	Displacement of activities in Spain, Algeria, Russia related to energy products	Production of biogas and chemicals in Spain	Displacement of soy from Brazil	i) Natural gas production in Nigeria, Algeria, Russia ii) Production of biogas and chemicals in Spain
Gender wage gap	Displacement of Spanish grid electricity	Chemicals production in Spain	i) Displacement of soy from Brazil, USA ii) Displacement of Spanish grid electricity	i) Production of biogas and chemicals in Spain ii) Natural gas production in Peru
Health expenditure	Displacement of natural gas from Nigeria	Chemicals production in Spain	Displacement of soy from Brazil	Algal fuel production in Spain
Unemployment	Displacement of Spanish grid electricity.	Production of biogas, chemicals, water in Spain	Displacement of Spanish grid electricity	Production of biogas and chemicals in Spain
Violations of employment laws and regulations	Displacement of natural gas from USA, Peru	Production of biogas, chemicals, water in Spain	Displacement of soy from USA	Production of biogas and chemicals in Spain
Women in the sectoral labor force	Displacement of economic activities in France, Peru, Algeria related to energy products	Production of biogas and chemicals in Spain	Displacement of Spanish grid electricity	Economic activities in France related to natural gas

### 324 3.3. Limitations

325 The models used in this study are subject to limitations, which should be kept in mind when  
326 interpreting the ELCA and SLCA results.

327 We assume that algae can be grown in open ponds without applying pesticides. If pesticide use is  
328 necessary, the ecotoxicity impact of algal biomass production will be bigger than shown in our study.

329 Although power demand for raceway pond operation is frequently reported, values in the  
330 literature vary by orders of magnitude. Furthermore, the correlation between mixing power and  
331 biomass yield is rarely explored. As the two presented systems are net power exporters, they benefit  
332 from the displacement of grid electricity. Thus, impacts would go up if grid electricity is greener than  
333 modeled or if power demand goes up (i.e. less power can be exported). Such changes would mainly  
334 affect the impact categories climate change, ionizing radiation and fossil resource depletion.

335 Our anaerobic digestion model does not account for the release of nitrogen- or phosphorus-rich  
336 compounds to water and air. Although digestate recycling should reduce this risk, our study might  
337 underestimate it. Impacts in the ecosystem quality and human health categories would be exacerbated  
338 by the release of these compounds.

339 We assume that digestate can be recycled wholly and infinitely without impacting biomass  
340 yields - a practice which has yet to be proven at scale. If algae toxins are found to accumulate in the  
341 digestate, the recycled ratio would have to be reduced. In turn, fertilizer demand would increase  
342 and an alternative digestate disposal route would have to be found, likely increasing impacts in all  
343 categories.

344 Treatment of discharged cultivation medium presents a significant source of environmental  
345 impacts in our study. To the authors' best knowledge, no public data on the composition of spent  
346 algae cultivation media exists. Thus, we had to rely on a generic model from the Ecoinvent database  
347 to model its treatment. In particular, it is conceivable that impacts in the human health category are  
348 significantly lower than presented in this study. We recommend to close this knowledge gap in future  
349 studies.

350 Our models rely on socio-economic background data, which are highly specific to the geographical  
351 and temporal scope of this study. The obtained results should neither be applied to other countries nor  
352 be extended into the long-term future.

### 353 4. Conclusion

354 The presented study compares the potential environmental and social life cycle performance  
355 of microalgal fuel and fodder co-production (AF+fodder) against microalgal fuel and energy  
356 co-production (AF+energy) in Spain. Our environmental impact assessment shows a mixed picture,  
357 indicating that energy co-production outperforms fodder co-production in 11 out of 19 indicators.  
358 In contrast, the social impact assessment favors fodder co-production in 6 out of 9 categories. We  
359 conclude that there is no systematic environmental or social benefit of fodder co-production over  
360 energetic utilization of the oil-extracted biomass. Preference for either option can only be established  
361 by weighting the environmental and social issues, which is inherently value-based and not further  
362 investigated. Despite this, our results show that co-production strategies have a decisive impact on the  
363 environmental and social performance of algal fuel. Our comparison of algal fuel to petroleum diesel  
364 identified needs for improvement in several environmental impact categories. We hence recommend  
365 exploring new technologies and system configurations, which enable truly sustainable algal fuel  
366 production.

367 **Supplementary Materials:** The following are available online at <http://www.mdpi.com/1660-4601/1/1/0/s1> :  
368 PDF document: Modifications to the AF+energy model, Excel workbook: AF+fodder model.

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