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Article An in-depth process model for fuel production via hydrothermal liquefaction and catalytic hydrotreating

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Abstract: One of the promising technologies for future renewable fuel production from biomass is 7 hydrothermal liquefaction (HTL). Although enormous progress in the context of continuous 8 experiments on demonstration plants has been made in the last years, still many research questions 9 concerning the understanding of the HTL reaction network remain unanswered. In this study, a 10 unique process model of an HTL process chain has been developed in Aspen Plus® for three 11 feedstock, microalgae, sewage sludge and wheat straw. A process chain consisting of HTL, 12 hydrotreatment (HT) and catalytic hydrothermal gasification (cHTG) built the core process steps of 13 the model, which uses 51 model compounds representing the hydrolysis products of the different 14 biochemical groups lipids, proteins, carbohydrates, lignin, extractives and ash for modelling the 15 biomass. Two extensive reaction networks of 272 and 290 reactions for the HTL and HT process step, 16 respectively, lead to the intermediate biocrude (~200 model compounds) and the final upgraded 17 biocrude product (~130 model compounds). The model can reproduce important characteristics, 18 such as yields, elemental analyses, boiling point distribution, product fractions, density and higher 19 heating values of experimental results from continuous experiments as well as literature values. The 20 model can be applied as basis for techno-economic and environmental assessments of HTL fuel 21 production, and may be further developed into a predictive yield modelling tool. 22

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Copyright: © 2021 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/). Keywords: hydrothermal liquefaction, process model, reaction network, advanced biofuel, Aspen24Plus, HTL25

1. Introduction

An increasing number of societies around the globe target climate neutrality by mid-cen-29 tury [1]. These ambitions require a deep decarbonization of all energy sectors, including 30 an almost complete substitution of crude-oil derived transportation fuels. Hydrothermal 31 liquefaction can convert a broad variety of organic feedstock into intermediate biocrudes, 32 which can subsequently be upgraded by catalytic hydrotreatment into mixtures of liquid 33 hydrocarbon fuels. Comparative assessments of advanced biofuel conversion technolo-34 gies suggest that the HTL pathway has the potential to get developed into a competitive 35 future solution [2], especially for the treatment of wet waste streams such as sewage 36 sludge [3]. Consequently, there is an increasing number of research and development ef-37 forts, which explore HTL conversion at pilot scale level. 38

The potential competitive advantage of HTL is linked to the hydrothermal pro-39 cessing conditions, which enable reactor designs with relatively low level of technological 40 complexity. However, these process conditions give rise to a complex reaction network 41 that links the yield and composition of the HTL biocrude to the initial composition of the 42 feedstock. The characteristic composition of the HTL biocrudes further translates into a 43 feedstock specific composition of the final fuel products that result from hydro-pro-44 cessing. An improved understanding of the product yields and compositions and conse-45 quently, also the underlying HTL reaction network is of importance for subsequent sys-46 tem analysis and for the further development of HTL fuel pathways [4]. Consequently, 47 there is already a large number of different HTL models present in literature that can be 48 differentiated by three main objective. One aim of HTL models is to predict the biocrude 49 yield, another one is the investigation of the underlying reaction network and a third ob-50 jective is to deliver mass and energy balances for a process chain in order to perform fur-51 ther system analysis in the form of LCA and TEA. HTL biocrude yield prediction models 52 are almost exclusively based on batch experiments with a single model compound or mix-53 tures of model compounds representing the biochemical groups that are typically found 54 in biomass. Teri et al. [5] first considered a linear prediction model but also added inter-55 action terms in order to reproduce the actual behavior more precisely. It turned out how-56 ever that the prediction model incorporating interaction terms was less accurate than the 57 linear prediction model. Another study compared results of HTL experiments with model 58 mixtures and with food processing residues, also applying a linear and polynomial re-59 gression model for the prediction of biocrude yields [6]. One additional aspect that this 60 study brought up was the difference between monomeric and polymeric model com-61 pounds. It is generally acknowledged that hydrolysis of polymeric biomass components 62 into monomers and oligomers is the first reaction occurring in HTL and that a fraction of 63 the hydrolysis products repolymerize to form biocrude and solid residue components [7]. 64 However, as shown by Déniel et al., not all polymeric biomass structures behave in the 65 same way and it therefore is important to consider additional aspects, such as rate of hy-66 drolysis under certain reaction conditions, when using monomeric model compounds [6]. 67 As already mentioned, not all biocrude yield models rely on experiments with model com-68 pounds. Leow et al. [8] and Li et al. [9] performed a large number of HTL batch experi-69 ments with microalgae samples having distinctive feedstock properties or a wide range 70 of compositions, respectively. The biocrude yields as well as properties were predicted 71 based on a multiphase component additivity model. Besides the aforementioned HTL bi-72 ocrude prediction models, also more process engineering oriented models based on sim-73 ulation software like Aspen Plus have been reported in literature. Hoffmann et al. inves-74 tigated a combination of an HTL plant with a biogas plant, using two components, phenol 75 and hexadecanoic acid, for the biocrude modeling and eight reactions for the upgrading 76 unit step in the Aspen Plus simulation [10]. The study was able to deliver mass and energy 77 balances that suggest the feasibility of the conceptual process design. Another conceptual 78 biorefinery design incorporating HTL and hydrotreatment as process steps is performed 79 by Snowden-Swan et al. [11]. The study focused on a techno-economic assessment to in-80 vestigate minimum fuel selling prices for a system that includes a whole process chain. 81 The underlying Aspen Plus model is largely based on previous studies by Knorr et al. [12] 82 and Jones et al. [4] and uses 16 model compounds for modeling the biocrude and 39 model 83 compounds for modeling the upgraded biocrude. Finally, there are also studies that in-84 vestigate reactions of the individual biochemical groups as single compound experiments 85 or as mixtures in order to get a better understanding of the underlying HTL reaction net-86 work. Matayeva et al. studied the fate of two amino acids, phenylalanine and leucine, as 87 well as binary mixtures of phenylalanine with tripalmitin and phenylalanine with glucose 88 under hydrothermal conditions and derived chemical pathway proposals for the two in-89 dividual amino acids and the binary mixture of phenylalanine with tripalmitin [13]. Gai 90 et al. investigated two types of low-lipid microalgae under subcritical hydrothermal con-91 ditions with varying temperatures. Based on experimental results from GC-MS and ¹H-92 NMR characterization, a general reaction network as well as predicted pathway schemes 93 for HTL of lipids, proteins and non-fibrous carbohydrates in low-lipid microalgae were 94 proposed [14]. A combination of predictive biocrude yield and reaction network study 95 was performed by Yang et al. [15]. The prediction model was developed using a mixture 96 design of five model components and verified with results from actual feedstock and mix-97 tures of model components. Based on the results of the model, synergistic and antagonistic 98 interactions between the individual components could be detected and a generalized re-99 action network was established. 100

In the present study, the generalized reaction concepts and predicted pathway schemes 101 mentioned in literature are consolidated [13–15], and put into live in a comprehensive 102 Aspen Plus ® simulation for three different feedstock. 51 model compounds are used as 103 representatives of the biomass. The model compounds are reacted under hydrothermal 104 conditions, which builds the first core process step of the model. The intermediate bi-105 ocrude product is subsequently processed by catalytic hydrotreatment to yield the final 106 upgraded biocrude. This is the second core process step of the simulation. Next to the 107 biocrude however, HTL conversion also yields gaseous, solid and aqueous product 108 phases. The aqueous phase contains a large fraction of the organic content of the feedstock 109 in form of water-soluble compounds. Therefore, it is desirable to utilize the organic con-110 tent of the aqueous phase to maximize carbon yields and overall process energy efficiency. 111 Catalytic hydrothermal gasification (cHTG) is an example for a conversion technology 112 that produces a biogas for energetic purposes and thereby treats the process water for 113 responsible disposal. cHTG is therefore included in the model as a third core process step. 114 Based on this comprehensive simulation approach, it is possible to reproduce key process 115 parameters with good agreement to literature results. Furthermore, more detailed chem-116 ical analyses give deeper insights into the chemical compositions of modeled biocrudes 117 and upgraded biocrudes. These findings are in reasonable agreement with literature find-118 ings for Spirulina and sewage sludge. It is proposed, that results for feedstock with similar 119 compositions to Spirulina and sewage sludge can be deduced. The model can serve as a 120 detailed basis for system analyses studies of HTL fuel production pathways. 121

2. Methods

In this section, the Aspen Plus ® simulation setup is described in detail. Furthermore, 124 key assumptions and parameters of the model are explained. 125

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2.1 Process development, biomass modelling, property method and calculations

The process model is simulated with Aspen Plus V 10. It is divided into three main 128 process steps, namely HTL, HT and cHTG, which will be described in detail hereafter. An 129 overview of the process chain is shown in Figure 1. Soave-Redlich-Kwong cubic equation 130 of state is chosen for all thermodynamic properties as base property method, while the 131 Petroleum silmulation options were set to "STEAMNBS" for the "free-water method" and 132 to "3" for "water solubility". 133





Figure 1. Overview of the process chain investigated in this study.

Biomass is modeled based on the assumption, that hydrolysis is the first reaction occurring 138 in HTL [16]. 51 model compounds were chosen to represent typical hydrolysis products of 139 the individual biochemical groups of lipids, proteins, carbohydrates, lignin, extractives 140 and ash. The amounts of each model compound are adapted to optimally replicate the 141 experimentally measured amounts of biochemical groups as well as the results of 142 elemental analyses. The amounts of biochemical groups chosen for the model, as well as 143 experimental results of elemental analyses of the three investigated feedstock as dry matter 144(dm) and ash-free are shown in Table 1. 145

Table 1. Elemental analysis (dry, ash-free) and amount of biochemical groups of the three147investigated feedstock Spirulina, sewage sludge and wheat straw [17].148

Feedstock	С	Н	Ν	0	S	Lipids	Carbohydrates	Proteins	Lignin	Extractives	Ash
Spirulina	54.0	7.2	13.5	24.4	0.9	1.3	39.4	50.8	0.4	0.6	7.4
Sewage sludge	54.0	7.4	3.6	34.7	0.3	8.8	48.1	15.8	6.6	1.7	19.1
Wheat straw	54.6	5.9	0.8	38.6	0.2	2.1	83.9	4.8	7.0	0.6	1.6

In the case of lipids, typical hydrolysis products are fatty acids and glycerol [16]. Six fatty 150 acids are chosen as model compounds. Cellulose and hemicellulose are chosen as 151 macromolecular representatives for the group of carbohydrates. Hydrolysis of cellulose 152 yields the monomeric sugar glucose, while for hemicellulose, a variety of monomeric 153 sugars, such as xylose, arabinose and others, as well as acetic acid and other acids can be 154

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obtained [18]. In the simulation, only xylose and acetic acid are chosen as model 155 compounds representing hemicellulose. Proteins are macromolecules consisting of linked 156 amino acids, which are the resulting hydrolysis compounds [16]. Ten amino acids were 157 chosen as model compounds. It should be noted that four of these amino acids, namely 158 cysteine, histidine, proline and arginine could not be modeled directly, due to the missing 159 parameter PLXANT/1st element (data set 1) of the vapor pressure model PL0XANT in the 160 databank NISTV100.NIST-TRC, which caused the simulation to stop. In order to allow the 161 simulation to be completed, these four amino acids were replaced by alternative 162 compounds, namely 3-mercaptopropionic acid for cysteine, 2-ethylimidazol for histidine, 163 pyrrolidine for proline and valeric acid for arginine. Missing amounts of nitrogen are 164 compensated by the addition of ammonia, missing acid moieties are modelled as 165 additional formic acid. Lignin is a highly heterogeneous polymer whose biosynthesis 166 precursors are three lignols, namely coniferyl alcohol, sinapyl alcohol and paracoumaryl 167 alcohol, which are cross-linked in diverse ways [19,20]. During hydrolysis, different 168 linkages are broken, which leads to a variety of possible compounds. Therefore, 13 model 169 compounds are chosen for the representation of the lignin part of biomass. For woody 170 biomass, extractives can contribute a significant portion of up to 10 wt% to the biomass 171 [20]. Therefore, model compounds from different classes of extractives, such as terpenes, 172 waxes and sterols are chosen as representative model compounds. Ash components are 173 modelled as metal oxides, hydroxides and phosphates, representing some of the abundant 174 metals as well as phosphorous. For simplicity, the ash components are assumed to be 175 solids, having no impact on the reactions occurring during the different process steps. 176 However, solids are distributed over the different streams. Missing parameters "standard 177 enthalpy of formation" (DHFORM) and "standard Gibbs free energy of formation" 178 (DGFORM) have been estimated using the properties estimation Joback method based on 179 the Joback Group contribution method [21]. Mass balances are normalized to 1 kg of dry 180 biomass feedstock, considering the different levels of hydrolysis assumed for the different 181 biochemical groups. For further analysis, like LCA or TEA, the flow rate of 1kgh-1 can be 182 adapted as needed. TOC values of the different aqueous phases have been evaluated 183 assuming the volumes of the organic/water mixtures being equal to the mass of water, 184 considerung a density of 1 kg/L. Due to the high ratio of water to organics this 185 approximation seems reasonable. Elemental analyses have been computed using the molar 186 amount of each model component and multiplying it with the corresponding amount of 187 mass of each element present in the model compound. The sum of each element over all 188 model compounds devided by the sum of all elements over all model compounds gives 189 the relative abundance of each element in each process stream. Boiling point distribution 190 curves have been generated by sorting all model compounds according to their respective 191 boiling points in ascending order. The sum of the amounts of masses of the model 192 compounds then gives the theoretical amount of recovered sample up to a certain boiling 193 point. Molar mass distributions have been obtained by plotting the amount of each model 194 component present in a certain stream over the respective molecular mass of the individual 195 model components. cHTG gas compositions are calculated based on molar ratios of species 196 present in the gaseous phase. Deoxygenation and denitrogenation rates are calculated 197 according to Haider et al. [22]. Hydrogen consumptions are estimated by the following 198 equation: 199

$$C(H_2) = \frac{m(H_{2,in}) - m(H_{2,out})}{m(bc)}$$
(1) 200

whereat C (H₂) is the hydrogen consumption, m (H_{2,in}) is the mass of hydrogen input, 201 m (H_{2,out}) is the mass of hydrogen in the output stream and m (bc) is the biocrude mass. 202 m

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The general setup of the HTL unit process step is shown in Figure 3. The feedstock 206 slurry is modelled as a mixture of 20 wt% dry biomass and 80 wt% water. The slurry is 207 pumped and heated to the HTL reactor (RStoic, 350 °C, 220 bar). The modelled reactions 208 are adapted in such a way, that the biocrude stream matches the experimental results of 209 elemental analysis and boiling point distribution. 210



2.2 Hydrothermal liquefaction unit

Figure 2. Reaction network based on literature results [6,13–15,23–26].

The resulting stream is depressurized and subsequently cooled down to 2 bar and 100 °C. 213 In the solid separator, parts of the solids can be removed. Subsequently, the phase 214 separation is performed, which results in the HTL gas phase, the aqueous phase and the 215 biocrude phase. The remaining solids are distributed over the aqueous phase and the 216 biocrude phase. The biocrude phase is sent to the HT unit, while the aqueous phase is 217 further treated with a membrane upconcentration. Due to simplicity reasons, the 218 membrane upconcentration is not modelled in detail. All model compounds are assumed 219 to be filtered in an equal amount. Therefore, the split fractions, defined in the separation 220 unit, are set to 0.78 for each component, which means, that 78 % of the organic material is 221 separated into the retentate. The resulting permeate is treated as wastewater while the 222 retentate is sent to the cHTG unit. 223



2.3 Catalytic hydrothermal gasification

Figure 5 shows the flowsheet of the cHTG process step. Since the cHTG catalyst is 229 prone to deactivation, a salt separator has to be integrated into the process. The resulting 230 brine phase is treated as wastewater, while the remaining stream is heated and pressurized 231 to 450 °C and 280 bar, respectively and processed in the cHTG reactor. A process efficiency 232 of 90 % is assumed for the cHTG process. Therefore, the fractional conversion factors for 233 all reactions modelled in the cHTG reactor are set to a value of 0.9. All reactions can be 234 assumed to proceed in the same way, shown in Equation 2. 235

$$a H_2O + C_cH_HN_NO_0S_s \rightarrow b CH_4 + c CO_2 + d NH_3 + e H_2S$$
 (2) 237

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This equation can be devided into five equations, one for each element. Combining these 239 equations, a matrix can be obtained. Based on the matrix and the elemental composition 240 for each compound, the stochiometries of each product compound and the reactant water 241 can be calculated. 242

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	а	b	с	d	е
С	0	1	1	0	0
Н	-2	4	0	3	2
N	0	0	0	1	0
0	-1	0	2	0	0
S	0	0	0	0	1

Figure 4. Matrix for calculating the stochiometry of a reaction as described in Equation 2 for any244given compound with the formula CcHHNNOoSs.245

The resulting stream is subsequently cooled and depressurized to 80 °C and 10 bar and 246 further separated into a gaseous and an aqueous phase. The gaseous phase contains a 247 significant amount of methan, which can be used as source of process energy for the HTL 248 plant. The liquid phase is treated as waste water. 249



Figure 5. Flowsheet of the cHTG process step.

2.4 Hydrotreating

The flowsheet of the HT unit is shown in Figure 6. The biocrude stream from the HTL 255 unit and hydrogen that is supplied externally in excess, get heated and pressurized. Both 256 streams are combined and reacted in the HT reactor (RStoic) at a temperature of 400 °C 257 and a pressure of 70 bar. The resulting product stream is cooled, depressurized and sepa-258 rated subsequently, resulting in a gaseous phase, a wastewater stream and the upgraded 259 biocrude. The reactions modelled in the HT reactor are adapted in such a way, that the 260 resulting upgraded biocrude stream best matches experimental results of elemental anal-261 ysis and boiling point distribution. 262

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3. Results and Discussion

In this section, modelling results from the HTL, cHTG and HT process steps of the 268 Aspen Plus ® model with Spirulina, sewage sludge and wheat straw as feedstock are pre-269 sented and discussed. In the first subsection, mass and energy balances are described. 270 Subsequently, the treatment of the aqueous phase in terms of TOC values, gas composi-271 tion and methane yield is illustrated. In addition, elemental analysis and a van Krevelen 272 diagram of the most prominent streams, namely feedstock, biocrude and upgraded bi-273 ocrude are presented. Furthermore, the biocrude and upgraded biocrude streams are dis-274 cussed in detail, including boiling point distribution, molecular mass distribution and 275 chemical composition. Finally, fuel fractions as well as typical HT characteristics are dis-276 cussed.

3.1. Mass and carbon mass balances

Table 2 shows the mass and carbon mass balances for all relevant streams of each 281 individual process step. The highest biocrude yield can be observed for Spirulina (36 wt%), followed by sewage sludge (34 wt%) and wheat straw (31 wt%). Literature values are in the range of 23 wt% to 40 wt% for Spirulina [27–30], 28 wt% to 44 wt% for sewage sludge [3,31] and 28 wt% to 44 wt% for lignocellulosic feedstock [32-34]. The modelling results are in the range of literature values, however, the biocude yields of sewage 286 sludge and lignocellulosic feedstock tend to be higher compared to those of microalgae, 287 which is somewhat contradictory compared to the model results. It should be kept in mind 288 however, that biocrude yields strongly depend on reaction conditions and other experi-289 mental parameters. The yields of the upgraded biocrudes in the hydrotreatment step be-290 have differently compared to those of the biocrude. Sewage sludge shows the highest up-291 graded biocrude yield, followed by wheat straw and Spirulina. The carbon mass balances 292 reveal that the distribution of the carbon is not proportional to the mass distribution. In 293 the case of sewage sludge 59.1 % of the initial carbon in the feedstock can be found in the 294 HTL biocrude, while for Spirulina and wheat straw these values are 55.0 % and 51.1 %, respectively.

Table 2. Mass and carbon mass balances for the most relevant streams of the three process units, respectively.

	HTL			cHTG			HT		
Stream	Ma	.ss /	Stream Mass /		Stream	Mass /			
	Carbon flo	ow (kg h-1)	Carbon flow (kg h-1)				Carbon fl	ow (kg h-1)	
	Spirulina								
Feed	1.00	0.51	RET (1)	1.94	0.13	BC	0.36	0.28	

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Water SOLID GAS AP BC RET	4.86 0.08 0.31 5.11 0.36 1.94	0.01 0.06 0.17 0.28 0.13	BRINE 2 GAS WW methane	0.37 1.58 0.22 1.36 0.06	0 0.13 0.09 0.04 0.05	H2 GAS WW UBC	0.16 0.21 0.02 0.29	0.03 0.00 0.25
PER	3.17	0.04						
			Se	ewage sludg	e			
Feed	1.00	0.44	RET (1)	1.78	0.09	BC	0.34	0.26
Water	4.49	-	BRINE	0.33	0	H2	0.15	-
SOLID	0.19	0.00	2	1.45	0.09	GAS	0.18	0.02
GAS	0.17	0.06	GAS	0.17	0.07	WW	0.04	0.00
AP	4.79	0.12	WW	1.28	0.02	UBC	0.28	0.24
BC	0.34	0.26	methane	0.05	0.04			
RET	1.78	0.09						
PER	3.01	0.03						
			I	Wheat straw				
Feed	1.00	0.47	RET (1)	1.85	0.11	BC	0.31	0.24
Water	4.49	-	BRINE	0.33	0.00	H2	0.15	-
SOLID	0.02	0.00	2	1.52	0.11	GAS	0.17	0.01
GAS	0.23	0.09	GAS	0.20	0.09	WW	0.04	0.00
AP	4.95	0.14	WW	1.32	0.02	UBC	0.25	0.23
BC	0.31	0.24	methane	0.07	0.05			
RET	1.85	0.11						
PER	3.10	0.03						

Likewise, the carbon yields of the upgraded biocrudes differ from the mass yields. For the 300 hydrotreatment step, wheat straw shows the highest carbon yield (95.8 %), while those of 301 Spirulina (86.2 %) and sewage sludge (85.7 %) are lower. The amounts and compositions 302 of HTL gas streams vary significantly for the different feedstock, which can be explained 303 by the different biochemical compositions and behaviors of the feedstock under hydro-304 thermal conditions. Minor amounts of carbon can be found in the HTL solids. Besides the 305 biocrude, also the aqueous phases contain considerable amounts of the initial feedstock 306 carbon, which suggest that aqueous phase valorization is key to optimize overall energy 307 and carbon efficiencies. Details of the aqueous phases and the resulting downstream 308 cHTG flows are shown in the following. 309

3.2. Analysis of the aqueous phase, cHTG gas composition and methane yield

In this section TOC values of the AP and subsequently produced cHTG gas 311 compositions and methane yields are discussed. 312

3.2.1 Total organic carbon of the aqueous phase

As can be seen from the carbon mass balances in Table 2, a significant amount of the 314 initial biogenic carbon ends up in the AP after HTL. In order to increase the total organic 315 carbon (TOC) and make cHTG a more energy-efficient option for treating the AP, an 316 upconcentration step is included into the model. The respective TOC values of the AP, the 317 retentate and the permeate are listed in Table 3. Since the upconcentration is modeled on 318 a simple level and independent of the feedstock, higher TOC values in the AP also lead to 319 higher TOC values in the retentate and permeate. Spirulina shows the highest initial TOC 320 value in the AP, followed by wheat straw and sewage sludge. These values are in good 321 agreement with literature data for Spirulina (6.7 g/L to 79.9 g/L) [29,35,36] and sewage 322

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sludge (8.3 g/L to 27.8 g/L) [31,35,37]. The TOC value of the modeled aqueous phase of wheat straw is higher than reported in literature (2.8 g/L to 27.8 g/L) [35,36]. 324

Table 3. TOC values for the three streams AP, retentate and permeate for each feedstock.

TOC / feedstock	Spirulina	Sewage sludge	Wheat straw
TOC (AP) [g/L]	35.0	25.5	30.4
TOC (retentate) [g/L]	78.0	56.9	67.7
TOC (permeate) [g/L]	11.8	8.6	10.3

3.2.2 cHTG gas composition and methane yield

Based on the matrix calculation described earlier the retentates were converted into 329 gas mixtures under hydrothermal gasification conditions. The gas compositions of the 330 respective gas streams after cHTG are shown in Figure 7. Generally, the gas compositions 331 are dominated by methane (50.7 to 57.8 mol%), carbon dioxide (31.6 to 32.5 mol%) and 332 water (8.1 to 10.2 mol%). Literature results show that also hydrogen is produced in 333 considerable amounts. The exact composition of the gas strongly depends on the catalyst 334 that is used and the chosen experimental conditions. One possible gas composition 335 reported by Stucki et al. comprises 52.4 vol% methane and 38.0 vol%, which is in good 336 agreement with the model results [38]. Minor contributions to the modeled gas are 337 observed from hydrogen, dihydrogen sulfide, carbon monoxide, nitrogen and ammonia. 338 One exception is the case of Spirulina, where the amount of ammonia is quite high (7.9 339 mol%) due to the high amount of proteins in the feedstock and subsequent, nitrogen 340 species in the aqueous phase. In contrast, wheat straw contains much less nitrogen in the 341 feedstock, which is also reflected in the aqueous phase and the resulting cHTG gas phase 342 composition. It should be noted, that the methane yield is not directly proportional to the 343 amount of carbon distributed to the aqueous phase (TOC values), but it is also dependant 344 on the type of compound that is converted. Wheat straw has the highest mass yield 345 (6.7 wt%) and carbon mass yield (10.6 wt%). Sewage sludge has the lowest mass yield 346 (5.3 wt%) but the second highest carbon mass yield (9.0 wt%), while Spirulina has the 347 second highest mass yield (6.1 wt%) and the lowest carbon mass yield (8.9 wt%). The 348 carbon mass yields for methane are also reflected by the share of methane in the gas 349 mixture (Figure 7). 350

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Spirulina Sewage Sludge Wheat Straw 351

Figure 7. Gas composition of cHTG gases from the three feedstock Spirulina (blue), sewage sludge (orange) and wheat straw (grey). 352

3.3. Elemental analysis and van Krevelen diagram of feedstock, biocrude and upgraded biocrude 353

Table 4 lists the results of the CHNO elemental analysis of feedstock, biocrude and 354 upgraded biocrude streams for all three investigated feedstock. The model results are 355 validated with experimental results obtained in the HyFlexFuel project [39]. All modeled 356 elemental compositions of the feedstock samples are in good agreement with 357 experimental results [17]. Relative deviations are under three percent, with the exceptions 358 of the hydrogen and oxygen contents of wheat straw which show a deviation of 4.0 % and 359 11.4 %, respectively. Biocrude and upgraded biocrude streams show a significant decrease 360 in oxygen and nitrogen content for all three feedstock. The final product consists mainly 361 of hydrocarbons, as indicated by the carbon and hydrogen content. Relative deviations 362 compared to the experiemental values for the sewage sludge biocrude are below 7 % while 363 for Spirulina the nitrogen content in the model is underestimated significantly, leading to 364 a deviation of over 35 %. In the case of the wheat straw biocrude, the nitrogen and carbon 365 content is overestimated, while the hydrogen and oxygen content is underestimated. This 366 leads to relative deviations of up to 35 wt% for the oxygen content. Also for the upgraded 367 biocrudes, wheat straw shows the highest deviations. The hydrogen content is 368 significantly lower than measured experimentally which reveals an overestimation of 369 aromatic compounds with high boiling points. The significant underestimation of 370 nitrogen indicates that the distribution of nitrogen is probably not modelled correctly. In 371 the model, most likely, the nitrogen content in the low boiling components is 372 overestimated while it is underestimated for the high boiling compounds. The elemental 373 composition of the upgraded biocrudes of Spirulina and sewage sludge are represented 374 quite well by the model. Figure A1 in the SI show a graphical representation of the results 375 shown in Table 4. 376

Stream / Feedstock		Spire	ulina		2	Sewage	sludg	e	Wheat straw			
	С	Н	N	0	С	Н	Ν	0	С	Н	N	0
Feedstock model [wt%]	55.1	7.1	13.5	23.8	54.2	7.3	3.7	34.2	48.3	6.5	0.8	44.4
Feedstock experiment [wt%] [17]	54.0	7.2	13.5	24.4	54.0	7.4	3.6	34.7	49.4	6.2	0.7	43.6
Relative deviation [%]	2.0	2.6	0.2	2.2	0.5	1.2	2.4	1.4	2.3	4.0	11.4	1.8
Biocrude model [wt%] [40]	77.6	9.5	5.7	7.3	76.5	8.9	3.0	11.6	78.0	6.2	1.5	14.3
Biocrude experiment [wt%] [40]	75.0	10.4	7.7	6.9	75.6	9.5	3.0	11.8	72.3	7.2	1.2	19.3
Relative deviation [%]	3.3	9.6	35.6	5.0	1.1	6.5	0.1	1.6	7.3	16.0	17.3	34.6
Upgraded biocrude model [wt%] [40]	85.4	13.7	0.8	0.1	86.2	13.0	0.7	0.1	90.2	9.3	0.4	0.1
Upgraded biocrude experiment [wt%] [40]	84.8	14.6	0.6	0.1	84.5	14.7	0.8	0.1	87.7	12.1	0.9	0.1
Relative deviation [%]	0.7	6.5	20.9	12.9	2.0	13.3	9.9	16.1	2.8	29.9	121.3	6.8

Table 4. Elemental analysis of feedstock, biocrude and upgraded biocrude from Spirulina, sewage sludge and wheat straw

Based on the results of the elemental analysis, van Krevelen diagrams can be generated 380 which give further insight into the differences of the different streams. H:C ratios of the 381 feedstock are in the range of 1.51 to 1.65 and quite similar, while the O:C ratios vary 382 significantly from around 0.7 for wheat straw to 0.5 for sewage sludge and 0.3 for 383 Spirulina. These trends can also be observed for the literature values. Futhermore, the 384 latter trend is also continued in the case of the model and validation biocrudes with O:C 385 ratios ranging from 0.20 for wheat straw over 0.12 for sewage sludge to 0.07 for Spirulina. 386 Literature values do not confirm this trend. O:C ratios of biocrudes from microalgae and 387 lignocellulosic materials vary quite significantly. Also H:C ratios are not similar for 388 biocrudes obtained from different feedstock. However, a trend is visible in this case. 389 Biocrudes from lignocellulosic feedstock tend to have the lowest H:C ratios, while those 390 from microalgae and sewage sludge are similar. The HyFlexFuel results however show a 391 clear trend. H:C ratios are 1.66 for Spirulina, 1.51 for sewage sludge and 1.20 for wheat 392 straw. For wheat straw, this can be explained by the large amount of formed aromatic 393 compounds in the biocrude, which is a result of the high amount of carbohydrates and 394 lignin in the feedstock. For sewage sludge, the high amount of lipids leads to a larger H:C 395 ratio, while significant amounts of carbohydrates and lignin compensate this effect to 396 some degree. In the case of Spirulina, the carbohydrate and especially, the lignin content 397 is quite low, which induces the high H:C ratio. Also the high amount of proteins seems to 398 have a favorable influence on the H:C ratio. For the upgraded biocrudes obtained in the 399

HyFlexFuel project, the previously described effect is even more distinct with H:C ratios 400ranging from 1.66 for wheat straw to 2.07 and 2.09 for Spirulina and sewage sludge, 401 respectively. Since almost all oxygen is removed during hydrotreatment, the O:C ratios 402 are very low for all three upgraded biocrudes. Literature values confirm that upgraded 403 biocrudes from lignocellulosic feedstock tend to have lower H:C ratios and slightly higher 404 O:C ratios compared to upgraded biocrudes from lipid or protein-rich biomass. H:C ratios 405 of upgraded biocrudes from Spirulina tend to be slightly lower than those of upgraded 406 biocrudes from sewage sludge. Model results vary slightly from experimental results for 407 Spirulina and sewage sludge, while greater deviations can be observed for wheat straw, 408 as already observed for the elemental analysis. H:C ratios are underestimated slightly in 409 all cases. For the biocrude and upgraded biocrude of wheat straw however, a large 410 deviation can be observed, which can be explained by the high amount of aromatic high 411 boiling components in the model. O:C ratios for the dry, ash-free feedstock streams are 412 also underestimated slightly. For the biocrude of wheat straw the O:C ratio is 413 underestimated significantly, which is caused by the underestimation of oxygen 414 containing high boiling components. Nevertheless, generall trends that are consolidated 415 by literature values are represented in a reasonable way. 416



Figure 8. Van Krevelen diagram showing the atomic H:C ratio over the atomic O:C ratio for feedstock, biocrude and upgraded418biocrudes. Model values and results from the HyFlexFuel project [39] for Spirulina (blue), sewage sludge (orange) and wheat straw419(grey) are given as circles. Model results are shown in light colours, while experimental results are depicted in dark colours. Literature420feedstock values are shown as rhombi, literature biocrude values are given as triangles and literature upgraded biocrude values are421depicted as squares [3,27,32,33,41–43].422

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3.4. Analysis of modelled biocrudes from sewage sludge, Spirulina and wheat straw

In this section boiling point distributions, molecular mass distributions and chemical 425 compositions of biocrudes from the different feedstock are compared. 426

3.4.1. Boiling point distribution

The boiling point distributions of biocrudes from three different feedstock Spirulina,429sewage sludge and wheat straw are depicted in Figure 9. The model boiling point distri-430butions are adapted to experimental results obtained in the HyFlexFuel project [39], the431

good reproducibility of the experimental results validate the model. The boiling point dis-432 tributions show a distinctly different behavior based on the composition of the initial feed-433 stock. Sewage sludge biocrude exhibits a slow increase in volatile components up to a 434 boiling point of about 350 °C, where a sudden and strong increase can be observed. This 435 can be ascribed to the high content of long-chain fatty acids and amides, originating from 436 the high amount of lipids present in the feedstock. About 58 wt% of the biocrude can be 437 found at boiling points below 600 °C. For Spirulina and wheat straw biocrude, a more 438 steady increase can be observed, whereas Spirulina biocrude shows a significant increase 439 of volatile components starting at around 200 °C. The amount of compounds below 600 °C 440 (70 wt%) is even higher in Spirulina biocrude compared to sewage sludge biocrude. Also 441 biocrude from wheat straw shows a different behavior. Almost half of the obtained bi-442 ocrude components can be found at boiling points above 600 °C, which indicates a high 443 amount of polymerization reactions occurring during HTL. This is linked to the high 444 amounts of lignin and carbohydrates present in the feedstock. Components with lower 445 boiling points between 200 °C and 350 °C can be observed in higher quantities than for 446 sewage sludge biocrude, but to a lower extent than in Spirulina biocrude. For wheat straw, 447 no results for a biocrude boiling point distribution from the HyFlexFuel project have been 448 available up to now. 449



Figure 9. Experimental and model boiling point distributions for biocrudes from Spirulina, sewage sludge and wheat straw.

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3.4.2. Comparison of molecular mass distribution and main model components of biocrudes from different feedstock

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The presentation shown in Figure 10 to Figure 12 and Figure 14 to Figure 16 can be 456 compared to an experimental GC-MS analysis, as e.g. shown in a study by Jarvis et al. [44]. 457 However, some distinct differences have to be kept in mind. Firstly, GC-MS analyses do 458 not show the exact molecular mass of the components and secondly, GC-MS results are 459 limited to the volatile fraction of the sample, while in these figures, the exact molecular 460mass of the model compounds is depicted on the x-axis and there is no limitation towards 461 high boiling components. In order to give a better overview of the different biocrude sam-462 ples however, the two heaviest model compounds, namely dohexacontane (C62, 463 871.7 g/mol) and doheptacontane (C72, 1011.9 g/mol) are not shown. In the case of Spir-464 ulina, these two compounds have a share of 11.0 wt% and 8.2 wt% respectively, while in 465 the case of sewage sludge the amounts are 6.9 wt% and 4.8 wt%, respectively. In the case 466 of wheat straw, these components do not contribute as much (3.0 wt% and 2.8 wt% re-467 spectively), because the high boiling polymerization compounds are mostly based on ar-468 omatic monomers from lignin and carbohydrate-originated compounds. Besides this, bi-469 ocrudes from Spirulina and sewage sludge generally are more similar compared to the 470 biocrude obtained from wheat straw, which is dominated by aromatic compounds and 471 especially a larger fraction of high molecular mass components. Besides some long-chain 472 amines (O, W), which result from reactions of lipids, the Spirulina biocrude (Figure 10) 473 shows a variety of different cyclic and aromatic oxygen and nitrogen containing com-474 pounds (B, E, F-K) that originate from Maillard reactions of sugars and proteins or from 475 direct dimerization reactions of proteins [14,15]. Furthermore, significant amounts of ox-476 ygenated cyclic or aromatic compounds (M, P, R) can be observed from the reaction of 477 sugar products, such as 5-hydroxymethylfurfural [6,25], with lignin monomers or with 478 each other. In addition, some aromatic high boiling polymerization products (S, T, V, X) 479 are present [25], but the high boiling fraction is mostly dominated by the long-chain hy-480 drocarbons that are not depicted. In some cases, model compounds are not expected to be 481 present in real samples in the exact same way, but they rather serve as representatives for 482 a variety of different possible compounds that cannot be modelled easily. This is espe-483 cially true for high boiling components. 484



Figure 10. Molecular mass distribution and main biocrude model compounds for Spirulina as feedstock.

In the case of the sewage sludge biocrude (Figure 11), Maillard reactions products (D-F) 488are present as well, but to a significantly lower extent, due to the lower amount of proteins 489 in the feedstock. Therefore, larger amounts of oxygenated cyclic and aromatic compounds 490 (B, C, H, M) can be observed, due to the increased amount of carbohydrates in the 491 feedstock [6,25]. Furthermore, an increased number of n-paraffins (n-C19, n-C22 and n-492 C25), long-chain carboxylic acid and amides (G, J-L, N) can be observed [14], which 493 originate from the higher amount of lipids in the feedstock. High boiling components are 494 dominated by long-chain hydrocarbons, which are not shown and to a small amount 495 consist of aromatic compounds (I, O, P), also containing heteroatoms [25]. 496



Figure 11. Molecular mass distribution and main biocrude model compounds for sewage sludge as feedstock.

Wheat straw is clearly dominated by carbohydrates and to a minor extent by lignin. These 499 materials are oxygen-rich and under hydrothermal conditions tend to readily polymerize 500 and form aromatic components of different sizes [6,25]. Figure 12 shows the molecular 501 mass distribution of modelled biocrude obtained from wheat straw. All compounds 502 exhibiting 1 wt% or more are depicted as well. Besides one fatty acid (K) and one fatty acid 503 amide (N), only aromatic compounds can be found. Compounds with lower molecular 504mass are distributed quite well, while high molecular mass compounds are only 505 represented by a few compounds, which results in the model not being flexible and not 506 predicting properties very well. 507





3.5. Analysis of modelled upgraded biocrudes from sewage sludge, Spirulina and wheat straw and HT process characteristics

In this section the boiling point distribution as well as the chemical composition of 512 the upgraded biocrudes are covered. Furthermore, a comparison to the chemical composition of the biocrudes is shown. Subsequently, fuel properties, fuel fractions and HT characteristics are investigated. 515

3.5.1. Boiling point distribution

Figure 13 shows the boiling point distributions of the upgraded biocrudes obtained 518 from Spirulina (blue), sewage sludge (orange) and wheat straw (grey). Experimental re-519 sults were obtained from the HyFlexFuel project [39] and are shown in dark colors, while 520 model results are depicted in light colors. As already described, Spirulina has a strong 521 emphasis on proteins and wheat straw on carbohydrates. Sewage sludge has a higher li-522 pid content but generally can be considered to have the most balanced composition of the 523 three investigated feedstock. The different compositions are reflected in the upgraded bi-524 ocrudes in different ways. While a significant portion of the upgraded biocrude of Spir-525 ulina can be found at lower boiling points, a strong increase between 275 °C and 325 °C is 526 observed, which can be attributed to n-paraffins (mainly C16 and C18). This effect is even 527 more severe for sewage sludge, due to the significantly higher amount of lipids present. 528 In contrast however, only a small amount of the upgraded biocrude can be found in the 529 low boiling point range. In the case of wheat straw, a smooth and steady, almost linear 530 increase can be observed. Furthermore, the amount of high boiling components (> 350 °C) 531 is significantly higher for the wheat straw upgraded biocrude. This can be explained by 532

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the high amounts of lignin and especially carbohydrates, which lead to an increased for-533 mation of aromatic high boiling components [6,25], which is also supported by the low 534 H/C ratio in the van Krevelen diagram (Figure 8). 535

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Figure 13. Experimental and model boiling point distributions for upgraded biocrudes from Spirulina (blue), sewage sludge (orange) and wheat straw (grey). Experimental results are shown in dark colors, while model results are depicted in light colors.

> 3.5.2. Comparison of molecular mass distribution and main model components of upgraded biocrudes from different feedstock

Generally speaking, compositions of upgraded biocrudes are less complicated than 543 compositions of biocrudes, because the amount of compounds that add up to large parts 544 of the upgraded biocrude is smaller. Figure 14 shows the molecular mass distribution of 545 the upgraded biocrude obtained from Spirulina with the main model compounds incor-546 porated. A prominent portion of the upgraded biocrude comprises n-alkanes from C5 up 547 to C37, with a clear peak at C16 and C18, originating from lipid containing species in the 548 biocrude. Furthermore, a significant amount of the upgraded biocrude consists of cyclic 549 paraffins (A-D, F, K, M) that originate from hydrotreating of aromatics and heteroaro-550 matic cyclic compounds. Some of the aromatics (I, J, H, L) could only partially be hy-551 drotreated and are still present. 552



Figure 14. Molecular mass distribution and main upgraded biocrude model compounds for Spirulina as feedstock.

Considering the overall picture, upraded biocrude from sewage sludge (Figure 15) and 555 Spirulina do not differ overly. The largest portion of the upgraded biocrude from sewage 556 sludge also consists of n-paraffins, although the amount is smaller compared to the 557 Spirulina case. However, the fraction of iso-paraffins is increased significantly. The 558 amount of cyclic paraffins (B-D, F) is quite similar at around 20 wt%. The aromatic content 559 (A, G, H) is increased quite significantly in the case of sewage sludge. This can be attributed 560 to the higher amount of carbohydrates and especially lignin, which tend to form aromatic 561 compounds [6,25]. 562

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Figure 15. Molecular mass distribution and main upgraded biocrude model compounds for sewage sludge as feedstock.

Modeled upgraded biocrude from wheat straw is composed mostly of aromatics (A, F, G, 565 H, I, K1, K2, L-N, R-T). Cyclic-paraffins (B-D, Q) can mainly be found in the low molecular 566 mass range. Some n-alkans (n-C13, n-C15 and n-C18), iso-alkanes (E, P) and alkan 567 substituted aromatics (O) are present as well. As seen in Figure 13 the majority of upgraded 568 biocrude can be found at boiling points above 350 °C. In the model, this range is dominated 569 by one single compound, Ovalene (S), which has a share of more than 25 wt% of the overall 570 upgraded biocrude. Certainly, this is far away from reality and the model does not 571 reproduce the actual composition of this range of the upgraded biocrude very well. This 572 indicates that the formation of high boiling poly-aromatic compounds in the HTL step is 573 key for a better understanding of upgraded biocrudes from lignin-rich feedstock. 574



Figure 16. Molecular mass distribution and main upgraded biocrude model compounds for wheat straw as feedstock.

3.5.2. Comparison of molecular weight distributions of biocrudes and upgraded biocrudes

Figure 17 shows the comparison of molecular weight distributions of biocrude and 579 upgraded biocrude obtained from Spirulina (a), sewage sludge (b) and wheat straw (c), 580 respectively. A shift of higher molecular masses in the biocrude towards lower molecular 581 masses in the upgraded biocrude can clearly be observed and is also supported by the 582 values of mean molar mass, specified in the Aspen models. The mean molar mass 583 decreases from 198.7 g/mol, 250.0 g/mol and 233.6 g/mol in the biocrude to 158.6 g/mol, 584 182.6 g/mol and 207.0 g/mol in the upgraded biocrude for Spirulina, sewage sludge and 585 wheat straw, respectively. This is also compliant with the observed differences in boiling 586 points distributions. Spirulina biocrude has a higher share of low boiling components, 587 which results in a smaller mean molar mass, sewage sludge biocrude has a higher share of 588 high boiling components, resulting in an increased mean molar mass. Wheat straw 589 biocrude has the highest share of high boiling components. However, these are almost 590 exclusively of aromatic nature which therefore leads to a slightly lower mean molar mass 591 compared to sewage sludge biocrude. For the upgraded biocrudes, mean molar masses 592 strictly follow the observed boiling point distributions and shares of low and high boiling 593 components, respectively. 594

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Figure 17. Molecular mass distribution of biocrude (blue) and upgraded biocrude (orange) for Spirulina (a), sewage sludge (b) and wheat straw (c).

3.5.3 Chemical composition and properties of upgraded biocrudes

It is a clear observation, that the modeled upgraded biocrudes from Spirulina and 601 sewage sludge are dominated by paraffins, while the upgraded biocrude of wheat straw is clearly dominated by aromatics. In the case of sewage sludge, the origin of the n-paraffins can be traced back to the lipid content (primarily C16 and C18 fatty acids) in the feed-604 stock, while in the case of Spirulina, parts of the n-paraffins also stem from proteins. The 605 high amount of aromatics in the upgraded biocrude of wheat straw originates to a major 606 extent from the high amount of carbohydrates and to a minor extent from the lignin pre-607 sent in the feedstock. Generally, these results are in good agreement with literature [44– 608 46]. For batch-hydrotreating experiments, Haider et al. [46] and Castello et al. [45] both 609 report amounts of n-paraffins of over 50 % for microalgae, which is also reflected by the 610 model. Aromatics, O-containing compounds as well as cyclic- and iso-paraffins are in the 611 range of 5 % to 10 %. Minor amounts of upgraded biocrude can be attributed to olefins as 612 well as N-containing and N-O-containing compounds. In the model, the amounts of O-613 containing and N-containing compounds are inverted, while the amounts of cyclic-paraf-614 fins and aromatics are slightly overestimated compared to these literature results. In the 615 case of sewage sludge, both Haider et al. [46] and Castello et al. [45] report amounts of n-616 paraffins of over 80 %. Aromatics, cyclic- and iso-paraffins are below 5 %, while O-con-617 taining compounds might be slightly over 5 %. These values are not reflected by the 618 model. Although n-paraffins dominate the composition of the sewage sludge upgraded 619 biocrude, the share is only 40 wt%. Aromatics, iso- and cyclic paraffins contribute signifi-620 cantly, having shares of 19.9 wt%, 13.2 wt% and 20.3 wt%, respectively. The amounts of 621 N- and O-containing compounds are inverted, as already observed for the microalgae 622 feedstock. With miscanthus and pine, Castello et al. [45] and Jarvis et al. [44] also investi-623 gated lignocellulosic feedstock. The latter publication reports a large amount of multicy-624 clic and in some cases aromatic compounds in the diesel range and further mentions a 625 lower amount of n-paraffins compared to sewage sludge and microalgae samples. This is 626 observed in the wheat straw model as well, but with reverse amounts. Castello et al. [45] 627

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also reports lower amounts of n-paraffins between 10 wt% and 20 wt%, depending on the 628 hydrotreating conditions. Furthermore, aromatics and cyclic-paraffins can contribute up 629 to 30 wt% each, while a fraction of at least wt10 % of O-containing compounds is ob-630 served. The amount of n-paraffins matches quite well with the amounts observed in the 631 model. The sum of aromatics and cyclic-paraffins in the model is slightly higher and the 632 share is shifted to the aromatic side quite significantly. Taking into account the low H:C 633 ratio, it can be concluded that the amount of aromatics in the model is overestimated. As 634 observed for the other two feedstock, the amounts of N- and O-containing compounds 635 are inverted again. When comparing results of different HTL and HT experiments, there 636 are further aspects that should be considered. First of all, the same feedstock can have 637 quite diverse biochemical compositions, which is especially true for sewage sludge. It was 638 found out that the mean value for crude fat content given in literature is 22 wt% with a 639 standard deviation of 84.4 % [17]. This is also reflected by another study, in which one 640 sludge sample (dm) had a fat content of 22.6 wt% and another sludge sample had a fat 641 content of 6.5 wt% [3]. Considering this and the fact that a fat content of 8.7 wt% (dm) was 642 chosen in this model, it seems reasonable that the amount of n-paraffins is significantly 643 lower than reported in literature. Generally, it can be observed that H:C ratios are under-644 estimated and aromatics are overestimated, which is adhesive. This is especially true for 645 wheat straw, in which large parts of the high boiling range is modelled with only one 646 component, ovalene. In order to generate more reliable results for lignocellulosic feed-647 stock, which are dominated by carbohydrates and lignin (> 90 wt%), a more diverse mod-648 elling of the high boiling range is necessary. 649

Table 5. Chemical composition of upgraded biocrudes from Spirulina, sewage sludge and wheat straw.

Feedstock	n-paraffin [wt%]	i-paraffin [wt%]	c-paraffin [wt%]	Olefin [wt%]	Aromatic [wt%]	O-contain- ing [wt%]	N-O-contain- ing [wt%]	N-contain- ing [wt%]
Spirulina	54.6	5.8	19.2	0.8	12.0	1.7	0.0	5.8
Sewage sludge	39.7	13.2	20.3	0.8	19.9	1.0	0.0	4.9
Wheat straw	18.1	6.7	13.7	0.3	57.3	0.1	0.1	3.6

Important fuel properties are e.g. density, calorific value, viscosity and cold flow proper-653 ties (cloud point, pour point) [47]. Table 6 compares two of these properties, density, 654 which is given based on results from the Aspen simulation and calorific values, which are 655 calculated according to Milne et al. [48], with literature results. The density values of the 656 modeled biocrudes of Spirulina and sewage sludge are generally slightly higher than the 657 literature values, while the densities of the modeled upgraded biocrudes are in good 658 agreement with literature values. In the case of the wheat straw model, especially the bi-659 ocrude density is quite high, compared to literature values of lignocellulosic biomass. Also 660 the density of the upgraded biocrude is slightly higher in the case of wheat straw. The 661 high density values can be explained by the overestimated amount of aromatics, which 662 generally have higher densities than other classes of hydrocarbons. The higher heating 663 values for both biocrudes and upgraded biocrudes match quite well with literature values, 664

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except for the wheat straw biocrude. This is most likely caused by the high amount of oxygen and the low H:C ratio in the biocrude. 665

Feedstock	Literature source	Biocrude density [kgL ⁻¹]	Biocrude HHV [MJ/kg]	Upgraded biocrude density [kgL¹]	Upgraded biocrude HHV [MJ/kg]
Spirulina	this study	1.148	37.5	0.776	47.21
Sewage sludge	this study	1.273	36.2	0.818	46.6 ¹
Wheat straw	this study	1.707	33.0	1.213	43.11
Microalgae	[49]	0.97 – 1.04	29.8	-	-
Sewage sludge	[3]	0.98	39.5	0.79	48.5 ²
Sewage sludge	[3]	0.99	38.0	0.81	47.8 ²
Forestry residues	[43]	1.055	37.6	0.9659	41.4
Woody biomass	[42]	0.97	40.4	0.904	43.73

Table 6. Densities and higher heating values of biocrudes and upgraded biocrudes from this study and literature.

1 calculated according to Milne et al. [48]. 2 calculated according to Milne et al. [48] based on elemental analysis given in literature.

3.5.4. Fuel fractions

Based on the results of the boiling point distribution curves, fractions for different 672 fuel cuts can be obtained, as listed in Table 7. The fuel cuts were chosen according to 673 Haider et al. and Castello et al. [45,46]. For Spirulina the gasoline fraction is most promi-674 nent, followed by the light diesel and jet fuel fraction, also containing more than 20 wt%. 675 The heavy diesel fraction still contains a considerable amount while vacuum gas oil (VGO) 676 and residue fractions only play a minor role. These results are somewhat different to those 677 reported in literature [45,46]. The gasoline fraction is almost half of the herein reported 678 amount, while the VGO and residue fraction contain significantly more upgraded bi-679 ocrude. In the case of sewage sludge the light diesel fraction is most prominent, due to the 680 high amount of n-paraffins originating mostly from lipids (C16 and C18 fatty acids). The 681 jet fuel, gasoline and heavy diesel fractions contain between 16.9 wt% and 23.9 wt%, while 682 the VGO and residue fraction only makes a minor part of upgraded biocrude. This is in 683 reasonable agreement with the results reported in literature [45], except for the fact, that 684 the VGO and residue fraction are more eminent in the literature results, while the gasoline 685 mass fraction is smaller. As already described, the upgraded biocrude from wheat straw 686 shows a smooth and steady boiling point distribution. This is also reflected by the distri-687 bution of fuel fractions, except for the heavy fuel oil range, which is quite high. The 688 amount of gasoline (17.8 wt%) is comparable to that of sewage sludge. The amounts of the 689 jet fuel and diesel fractions are 16.4 wt% and 24.7 wt, respectively. This is considerably 690 less than for Spirulina and sewage sludge. The VGO fraction is significantly higher than 691 for the other two feedstock, and the residue fraction almost adds up to one third of the 692 obtained fuel mixture (31.7 wt%). Comparing these results to fractions of an upgraded 693 biocrude obtained from miscanthus, the jet fuel, light diesel, heavy diesel and vacuum gas 694 oil fraction fit quite well. The amount of gasoline fraction in literature is significantly 695 greater, while the residue fraction is significantly smaller [45]. Further comparison to lit-696 erature results is somewhat complicated, due to the different definitions of fractional cuts. 697 Biller et al. reported 24 wt% for a gasoline fraction, 54 wt% for a diesel fraction ranging 698

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from 190 °C to 340 °C, 17 wt% for a large vacuum gas oil fraction (340 °C – 538 °C) and 699 5 wt% for a residue fraction of an upgraded biocrude obtained from microalgae [27]. Es-700 timating these values and comparing them to the herein reported results, a reasonable 701 match can be stated. Haghighat et al. investigated the HT of a biocrude obtained from 702 lignocellulosic biomass and obtained a gasoline fraction of 12 wt%, a diesel fraction 703 (180 °C to 343 °C) of 52 wt%, a vacuum gas oil fraction (343 °C to 550 °C) of 31 wt% and a 704 residue fraction of 5 wt% [43]. Compared to the herein reported values, the diesel fraction 705 is significantly higher, while the residue fraction is considerably lower. Snowden-Swan et 706 al. investigated HTL and HT of two sludge samples, yielding 20.4 wt% to 24.7 wt% of 707 gasoline, 64.5 to 66.8 wt% diesel (184°C - 390 °C) and 8.5 wt% to 15.1 wt% heavies [3]. Also 708 in this case a comparison indicates a reasonable match of the herein reported results and 709 literature results. It should be mentioned that the distribution of fraction cuts is highly 710 sensitive towards reaction conditions of HTL as well as HT and therefore no exact match 711 should be expected from such comparisons. 712

Table 7. Distribution of the upgraded biocrude on the different fuel fractions gasoline, jet fuel, diesel and heavy fuel oil.

Boiling range	27.8 - 193 °C	193 - 271 °C	271 – 321 °C	321 – 425 °C	425 – 564 °C	>564 °C
Feedstock	Gasoline [wt%]	Jet fuel [wt%]	Light diesel [wt%]	Heavy diesel [wt%]	Vacuum gas oil [wt%]	Residue [wt%]
Spirulina	35.1	20.0	25.8	12.9	4.6	1.6
Sewage sludge	20.5	23.9	31.5	16.9	2.9	4.3
Wheat straw	17.8	16.4	10.7	14.0	9.4	31.7

3.5.5. HT characteristics

Some of the important HT characteristics, such as de-oxygenation and de-nitrogena-717 tion rates, as well as hydrogen consumption are shown in Figure 18. Comparing the 718 amount of heteroatoms present in the biocrudes with the hydrogen consumption a direct 719 correlation can be observed [46]. However, higher hydrogen consumption can also be re-720 lated to a higher degree of hydrogenation, which is also reflected in an increased H:C 721 ratio. Since de-oxygenation and de-nitrogenation rates of wheat straw are in the same 722 range as for Spirulina and sewage sludge and the amount of heteroatoms is even higher, 723 the lower hydrogen consumption can be explained by the lack of hydrogenation reactions. 724 This is also supported by the low H:C ratio observed for the upgraded biocrude of wheat 725 straw. Absolute values of hydrogen consumption for Spirulina and sewage sludge are 726 generally higher than literature results [43,46,50,51], except for one study by PNNL [3], in 727 which a hydrogen consumption of 58 g/kg is reported. The high hydrogen consumption 728 for Spirulina and sewage sludge in this study can be explained by the quite high de-oxy-729 genation (> 99 %) and de-nitrogenation (\geq 80 %) rates as well as significant hydrogenation 730 reactions assumed in the model. 731

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Figure 18. De-oxygenation and de-nitrogen rates, as well as hydrogen consumption for Spirulina (left), sewage sludge (middle) and wheat straw (right).

4. Conclusions

A comprehensive model of an HTL process chain consisting of HTL, cHTG and HT 736 has been validated with experimental results from the HyFlexFuel project. Establishing 737 an extensive reaction network in Aspen Plus ® based on proposed reactions from 738 literature for the HTL process step and also modelling the cHTG and HT reactors with 739 large quantities of reactions has proven to be a successful modeling approach. Key process 740 parameters, such as yield of biocrude and upgraded biocrude, TOC values of the AP, 741 methane yields, hydrogen consumption, and fuel fraction yields, which are important 742 quantities for subsequent system analyses like LCA and TEA, can be reproduced 743 confidently and are in good agreement with literature results. Therefore, it is proposed 744 that the model can be used confidently as a basis for these subsequent system analyses. 745 Furthermore, more detailed chemical analyses, such as elemental analysis, boiling point 746 distribution and simulated molar mass distribution, comparable to a GC-MS analysis, give 747 deeper insights into the chemical compositions of modeled biocrudes and upgraded 748 biocrudes. Results for Spirulina and sewage sludge show reasonable results with minor 749 differences compared to literature findings. The wheat straw model shows a lack of 750 variety in the modeling of high boiling components, which results in major differences 751 (EA, chemical composition) compared to literature results. It is proposed that results for 752 feedstock with similar compositions to Spirulina and sewage sludge can be deduced with 753 the herein described model. In order to guarantee reliable results for feedstock with a 754 domination of one biochemical component (e.g. wheat straw, carbohydrates > 80 wt%), 755 the model has to be further improved. For future studies it is proposed that a biorude 756 vield prediction model could be established based on the established reaction network 757 such that biocrude yields can be predicted by the modeling. In combination with the 758 profound chemical analysis of biocrudes and upgraded biocrudes this could be a 759 powerful tool for the understanding of HTL reaction networks and in-depth analyses of 760 HTL fuel conversion pathways. 761

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